

# What is rational 4-genus?

Tech Topology Conference

Katherine Raoux — University of Arkansas

12.9.23

We start with a knot:

$$K \subset Y^3$$

$$p[K] = 0 \in H_1(Y; \mathbb{Z})$$

for some  $p \in \mathbb{Z}$

$$\mathbb{Z} \cong H_2(Y, K) \longrightarrow H_1(K) \longrightarrow H_1(Y)$$

$$c \longmapsto p[K] \longmapsto 0$$

lifts

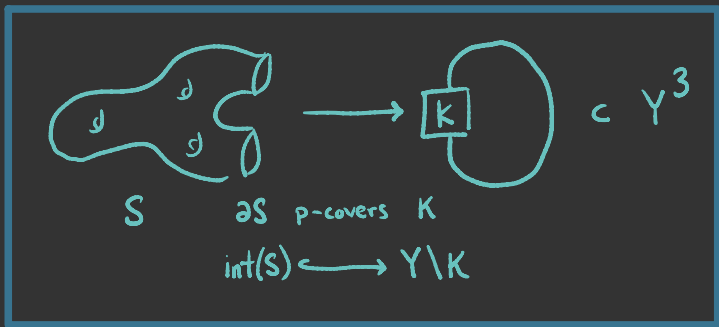
Represent  $c$  by surface  $S$ .

BUT!

THEN

$K$  does not bound a Seifert surface.

$S$  is a rational Seifert surface for  $K$ .



Rational Seifert genus

$$\|K\|_Y := \inf_{S, p} \frac{-\chi(S)}{2p}$$

min. genus Seif. surf. for  $K_{p,q}$  in  $Y \setminus \nu(K)$

$$= \frac{-\chi(S_{\min})}{2p}$$

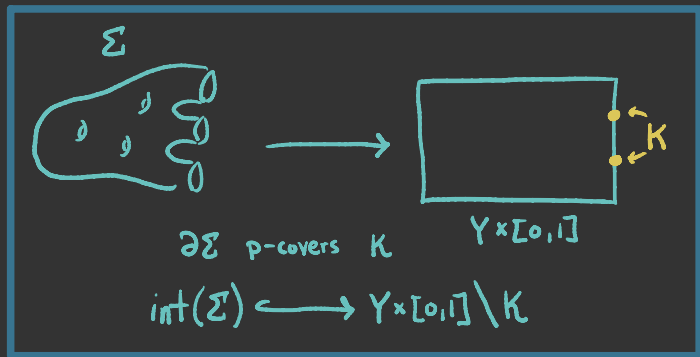
HF-Alexander grading

$$= \frac{1}{2}(A_{\max}(K) - A_{\min} - 1)$$

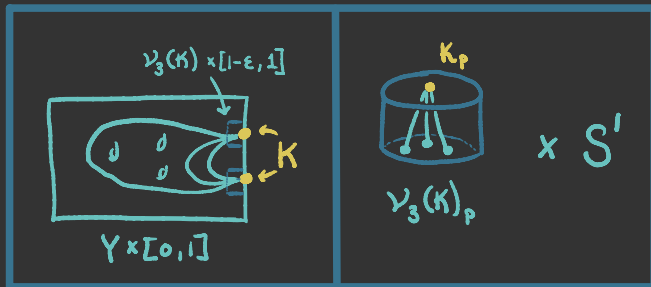
Calegari-Gordon Ni-Wu

# What about rational 4-genus?

A rational slicing surface:



$K$  has a simultaneous tubular and collar neigh.



Near the knot,  $\Sigma \cap \nu_3(K) \times \{1-\epsilon\} = P_\beta(K)$ .

Rational 4-genus:

$$\begin{aligned}
 \|K\|_{Y \times [0, 1]} &:= \inf_{\Sigma, p} \frac{-\chi(\Sigma)}{2p} \\
 &= \inf_{p \geq 1} \left( \inf_{\beta \in \mathcal{B}_p} \left( \inf_{\Sigma} \left\{ \frac{-\chi(\Sigma)}{2p} \mid \Sigma \hookrightarrow Y \times [0, 1] \atop \partial \Sigma = P_\beta(K) \right\} \right) \right)
 \end{aligned}$$

Theorem (Hedden-R.)

$$\frac{1}{2} (\tau_{\max}(K) - \tau_{\min}(K) - 1) \leq \|K\|_{Y \times [0, 1]}$$

$\uparrow$  max/min  $\tau_\alpha(K)$   
 $\alpha \in \widehat{HF}(Y)$

# Anosov Reeb Flows, Dirichlet Optimization and Entropy

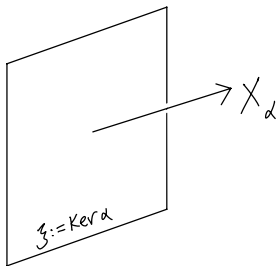
Surena Hozoori (shozoori@ur.rochester.edu)

University of Rochester

Tech Topology Conference 2024

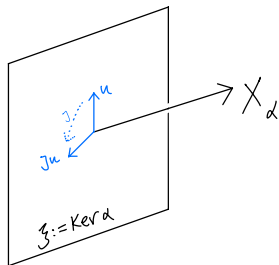
# Chern-Hamilton Question

- Suppose  $M$  is a closed oriented 3-manifold.
- Let  $\alpha$  be a positive contact form on  $M$  and  $X_\alpha$  the associated Reeb vector field.



# Chern-Hamilton Question

- Suppose  $M$  is a closed oriented 3-manifold.
- Let  $\alpha$  be a positive contact form on  $M$  and  $X_\alpha$  the associated Reeb vector field.



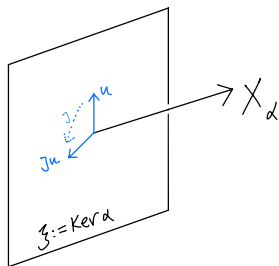
## Definition

If  $J$  is an almost complex structure defined on  $\xi := \ker \alpha$ , we define its *Dirichlet energy* as

$$\mathcal{E}(J) := \int_M \|\mathcal{L}_{X_\alpha} J\|^2 \alpha \wedge d\alpha.$$

# Chern-Hamilton Question

- Suppose  $M$  is a closed oriented 3-manifold.
- Let  $\alpha$  be a positive contact form on  $M$  and  $X_\alpha$  the associated Reeb vector field.



## Definition

If  $J$  is an almost complex structure defined on  $\xi := \text{ker } \alpha$ , we define its *Dirichlet energy* as

$$\mathcal{E}(J) := \int_M \|\mathcal{L}_{X_\alpha} J\|^2 \alpha \wedge d\alpha.$$

- i.e.

$$\mathcal{E} : \mathcal{J}(\alpha) = \{\text{space of almost complex structures on } \xi := \text{ker } \alpha\} \rightarrow \mathbb{R}$$

is an *energy functional*.

## Chern-Hamilton Question 1984

For which contact manifolds  $(M, \alpha)$  does the Dirichlet energy functional

$$\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R}$$

attains its minimum?



## Chern-Hamilton Question 1984

For which contact manifolds  $(M, \alpha)$  does the Dirichlet energy functional

$$\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R}$$

attains its minimum?

## Theorem (H. 23)

*It rarely does! We can classify all such  $(M, \alpha)$  s.*

Using variational techniques,

## Theorem (Tanno 1989)

*An almost complex structure  $J$  is critical for*

$$\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R},$$

*if and only if,*

$$\nabla_{X_\alpha} (\mathcal{L}_{X_\alpha} J) = 2(\mathcal{L}_{X_\alpha} J)J.$$

# Tanno's Variational Formula

Using variational techniques,

## Theorem (Tanno 1989)

*An almost complex structure  $J$  is critical for*

$$\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R},$$

*if and only if,*

$$\nabla_{X_\alpha}(\mathcal{L}_{X_\alpha} J) = 2(\mathcal{L}_{X_\alpha} J)J.$$

## Theorem (Deng 1991)

*Any critical  $J$  is in fact the minimizer of  $\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R}$ .*

## Consequences of Tanno's Formula

If  $J$  is a *critical* almost complex structure,

- the **scalar torsion**  $\|\mathcal{L}_{X_\alpha} J\|$  is constant along the Reeb flow  $X_\alpha$ , i.e.

$$X_\alpha \cdot \|\mathcal{L}_{X_\alpha} J\| = 0.$$

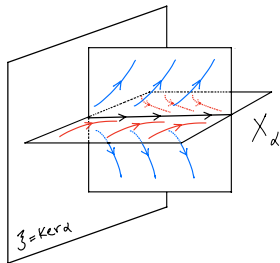
# Consequences of Tanno's Formula

If  $J$  is a *critical* almost complex structure,

- the **scalar torsion**  $\|\mathcal{L}_{X_\alpha} J\|$  is constant along the Reeb flow  $X_\alpha$ , i.e.

$$X_\alpha \cdot \|\mathcal{L}_{X_\alpha} J\| = 0.$$

- Wherever  $\|\mathcal{L}_{X_\alpha} J\| \neq 0$ , the Reeb flow  $X_\alpha$  has **hyperbolic behavior**.



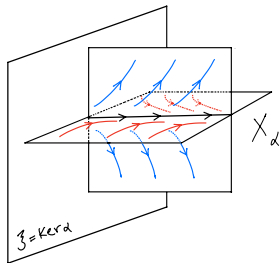
# Consequences of Tanno's Formula

If  $J$  is a *critical* almost complex structure,

- the **scalar torsion**  $\|\mathcal{L}_{X_\alpha} J\|$  is constant along the Reeb flow  $X_\alpha$ , i.e.

$$X_\alpha \cdot \|\mathcal{L}_{X_\alpha} J\| = 0.$$

- Wherever  $\|\mathcal{L}_{X_\alpha} J\| \neq 0$ , the Reeb flow  $X_\alpha$  has **hyperbolic behavior**.



- $\implies$  The pre-image of a regular value of the scalar torsion  $\Sigma_\lambda = \|\mathcal{L}_{X_\alpha} J\|^{-1}(\lambda)$  is an invariant closed surface.

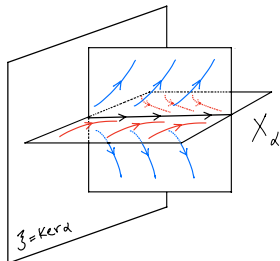
## Consequences of Tanno's Formula

If  $J$  is a *critical* almost complex structure,

- the **scalar torsion**  $\|\mathcal{L}_{X_\alpha} J\|$  is constant along the Reeb flow  $X_\alpha$ , i.e.

$$X_\alpha \cdot \|\mathcal{L}_{X_\alpha} J\| = 0.$$

- Wherever  $\|\mathcal{L}_{X_\alpha} J\| \neq 0$ , the Reeb flow  $X_\alpha$  has **hyperbolic behavior**.



- $\implies$  The pre-image of a regular value of the scalar torsion  $\Sigma_\lambda = \|\mathcal{L}_{X_\alpha} J\|^{-1}(\lambda)$  is an invariant closed surface.
- (NOT possible in hyperbolic dynamics)**

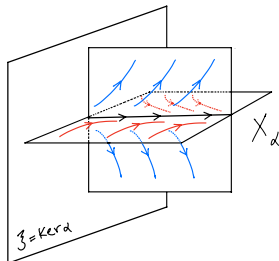
# Consequences of Tanno's Formula

If  $J$  is a *critical* almost complex structure,

- the **scalar torsion**  $\|\mathcal{L}_{X_\alpha} J\|$  is constant along the Reeb flow  $X_\alpha$ , i.e.

$$X_\alpha \cdot \|\mathcal{L}_{X_\alpha} J\| = 0.$$

- Wherever  $\|\mathcal{L}_{X_\alpha} J\| \neq 0$ , the Reeb flow  $X_\alpha$  has **hyperbolic behavior**.



- $\implies$  The pre-image of a regular value of the scalar torsion  $\Sigma_\lambda = \|\mathcal{L}_{X_\alpha} J\|^{-1}(\lambda)$  is an invariant closed surface.
- (NOT possible in hyperbolic dynamics)**

## Corollary

For critical  $J$ , we have  $\|\mathcal{L}_{X_\alpha} J\| \equiv C \geq 0$  for some constant  $C$ .

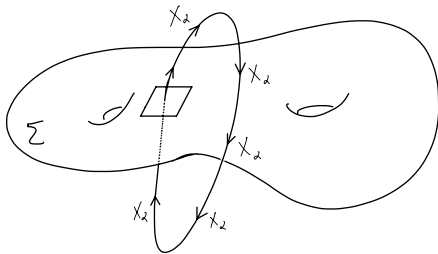


Case 1:  $\|\mathcal{L}_{X_\alpha} J\| \equiv 0$

- That is when  $X_\alpha$  is a Killing vector field.

## Case 1: $\|\mathcal{L}_{X_\alpha} J\| \equiv 0$

- That is when  $X_\alpha$  is a Killing vector field.
- Virtually,  $X_\alpha$  traces a  $\mathbb{S}^1$ -fibration over a surface (called *Boothby-Wang fibrations*).



Case 2:  $\|\mathcal{L}_{X_\alpha} J\| \equiv C > 0$

- We have *hyperbolic behavior* everywhere. Such Reeb flows are called **Anosov**.

---

<sup>1</sup>Image source: <https://thatsmaths.com/2013/10/11/poincares-half-plane-model/>

## Case 2: $\|\mathcal{L}_{X_\alpha} J\| \equiv C > 0$

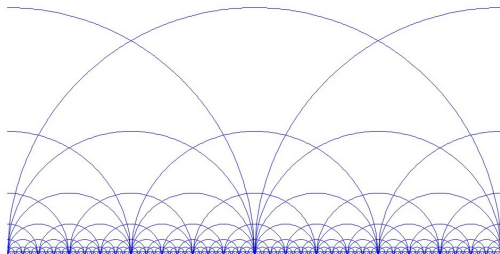
- We have *hyperbolic behavior* everywhere. Such Reeb flows are called **Anosov**.
- $\|\mathcal{L}_{X_\alpha} J\|$  being constant corresponds to *entropy rigidity*.

---

<sup>1</sup>Image source: <https://thatsmaths.com/2013/10/11/poincares-half-plane-model/>

## Case 2: $\|\mathcal{L}_{X_\alpha} J\| \equiv C > 0$

- We have *hyperbolic behavior* everywhere. Such Reeb flows are called **Anosov**.
- $\|\mathcal{L}_{X_\alpha} J\|$  being constant corresponds to *entropy rigidity*.
- (Foulon 01)  $\Rightarrow$  Virtually,  $X_\alpha$  is the **geodesic flow of a hyperbolic surface**  $\Sigma$  on  $UT\Sigma$ .



1

<sup>1</sup>Image source: <https://thatsmaths.com/2013/10/11/poincares-half-plane-model/>

## Theorem (H. 23)

The Dirichlet energy functional  $\mathcal{E} : \mathcal{J}(\alpha) \rightarrow \mathbb{R}$  admits a minimizer, if and only if,

(1)  $(M, \alpha)$  is virtually equivalent to a *Boothb-Wang fibration*.

or

(2)  $X_\alpha$  is virtually equivalent to the *geodesic flow of a hyperbolic surface*  $\Sigma$  on  $UT\Sigma$ .

What about the **infimum** of the Dirichlet energy for a general  $(M, \alpha)$ ?

What about the **infimum** of the Dirichlet energy for a general  $(M, \alpha)$ ?

## Theorem (H. 23)

If  $(M, \alpha)$  is an **Anosov** contact manifold. Then,

$$\inf_{J \in \mathcal{J}(\alpha)} \mathcal{E}(J) = \frac{h_{\alpha \wedge d\alpha}^2(X_\alpha)}{\text{Vol}(\alpha \wedge d\alpha)},$$

where  $h_{\alpha \wedge d\alpha}^2(X_\alpha)$  is the *measure entropy*.



What about the **infimum** of the Dirichlet energy for a general  $(M, \alpha)$ ?

## Theorem (H. 23)

If  $(M, \alpha)$  is an **Anosov** contact manifold. Then,

$$\inf_{J \in \mathcal{J}(\alpha)} \mathcal{E}(J) = \frac{h_{\alpha \wedge d\alpha}^2(X_\alpha)}{\text{Vol}(\alpha \wedge d\alpha)},$$

where  $h_{\alpha \wedge d\alpha}^2(X_\alpha)$  is the measure entropy.

## Conjecture

For an arbitrary contact manifold  $(M, \alpha)$ , we have

$$\inf_{J \in \mathcal{J}(\alpha)} \mathcal{E}(J) = \frac{h_{\alpha \wedge d\alpha}^2(X_\alpha)}{\text{Vol}(\alpha \wedge d\alpha)}.$$

Thank you!  
:)

# Infinite Order Knot Traces

Yikai Teng

Rutgers University-Newark

December, 2023

# Cork Twists

## Corks

A (loose) cork  $(W, f)$  is a contractible compact smooth 4-manifold  $W$  together with a boundary automorphism  $f$  such that  $f$  does not extend to a self-diffeomorphism of  $W$ .

## Cork Twisting Theorem ([4], [8], [1])

Every pair of exotic simply-connected smooth 4-manifolds are related by a cork twist.

## Examples

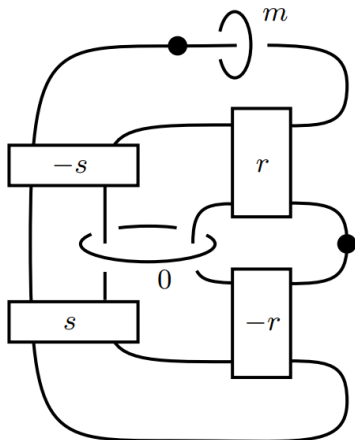
- $E(1)$  and  $E(1)_{2,3}$  are related by the positron cork [3].
- $E(2) \# \overline{\mathbb{C}P^2}$  and  $3\mathbb{C}P^2 \# 20\overline{\mathbb{C}P^2}$  are related by the Mazur cork [2].

# Infinite order corks

## Theorem 1 ([6], [5])

There exists a cork  $X$  together with a boundary automorphism  $\phi$  on  $\partial X$  such that the iterated automorphism  $\phi^k$  does not extend to a self-diffeomorphism on  $X$  for any  $k > 0$ .

- $\forall n, X \hookrightarrow E(n)$
- Twisting via  $\phi^k$  changes  $E(n)$  to the smooth manifold obtained by doing Fintushel-Stern knot surgery using the  $k$ -twist knots.
- We can upgrade  $X$  to a family of infinite order corks by repeatedly blowing up  $E(n)$  combined with orientation changes.

Realising the cork  $(r, s > 0 > m)$ 

# Twisting knot traces

## Knot traces

A knot  $n$ -trace  $X_n(K)$  is the smooth 4-manifold with a single 2-handle glued to a 0-handle along the knot  $K$ , with framing coefficient  $n$ . Its boundary turns out to be the  $n$ -surgery of the 3-sphere along the knot  $K$ ,  $S_n^3(K)$ .

## Applications combining with trace embedding lemma

- Knot traces can be used as plugs to generate exotic  $\mathbb{R}^4$ 's.
- With a little modifications, knot traces can possibly generate exotic  $S^4$ 's or  $\#^n \mathbb{C}P^2$ 's [7] [9].

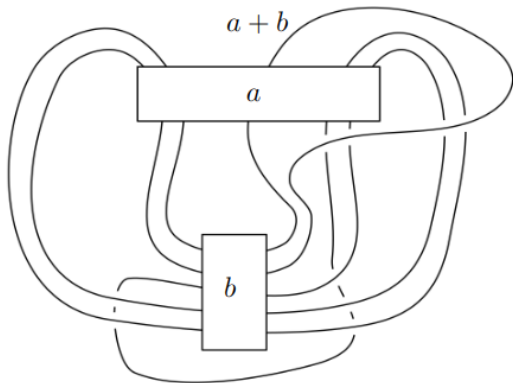
# Knot trace as infinite order plugs

## Theorem 3

For any integer  $n$  with  $|n| \geq 2$ , there exists a knot trace  $X_n(K_n)$  together with a boundary automorphism  $\phi_n$  on  $S_n^3(K_n)$  such that the iterated automorphism  $\phi_n^k$  does not extend to a trace self-diffeomorphism for any  $k > 0$ .

- Base case:  $X_{-2}(K_{-2}) \hookrightarrow E(n)$
- Twisting via  $\phi_{-2}^k$  changes  $E(n)$  to the smooth manifold obtained by doing Fintushel-Stern knot surgery using the  $k$ -twist knots.
- We can obtain infinite order knot traces of other framings by repeatedly blowing up  $E(n)$  combined with orientation changes.



Realising the knot trace  $(a, b < 0)$ 

- [1] S. Akbulut and R. Matveyev, *A convex decomposition theorem for 4-manifolds*, Internat. Math. Res. Notices **7** (1998), 371–381. MR1623402
- [2] Selman Akbulut, *A fake compact contractible 4-manifold*, J. Differential Geom. **33** (1991), no. 2, 335–356. MR1094459
- [3] ———, *The Dolgachev surface. Disproving the Harer-Kas-Kirby conjecture*, Comment. Math. Helv. **87** (2012), no. 1, 187–241. MR2874900
- [4] C. L. Curtis, M. H. Freedman, W. C. Hsiang, and R. Stong, *A decomposition theorem for  $h$ -cobordant smooth simply-connected compact 4-manifolds*, Invent. Math. **123** (1996), no. 2, 343–348. MR1374205
- [5] Robert E. Gompf, *Infinite order corks*, Geom. Topol. **21** (2017), no. 4, 2475–2484. MR3654114
- [6] ———, *Infinite order corks via handle diagrams*, Algebr. Geom. Topol. **17** (2017), no. 5, 2863–2891. MR3704246
- [7] Ciprian Manolescu and Lisa Piccirillo, *From zero surgeries to candidates for exotic definite four-manifolds*, 2023.
- [8] R. Matveyev, *A decomposition of smooth simply-connected  $h$ -cobordant 4-manifolds*, J. Differential Geom. **44** (1996), no. 3, 571–582. MR1431006
- [9] Kai Nakamura, *Trace embeddings from zero surgery homeomorphisms*, 2022.

# Dissecting Symplectic 4- Manifolds

Randy Van Why  
Northwestern University

# Symplectic 4-manifolds:

•  $(M^4, \omega)$  where

(i)  $d\omega = 0$

(ii)  $\omega \wedge \omega \neq 0$

• If  $M$  is closed then  $[\omega] \neq 0$  in  $H_{dR}^2(M)$   
(So  $S^4$  is not symplectic)

Q: How to study  $(M^4, \omega)$  in pieces?

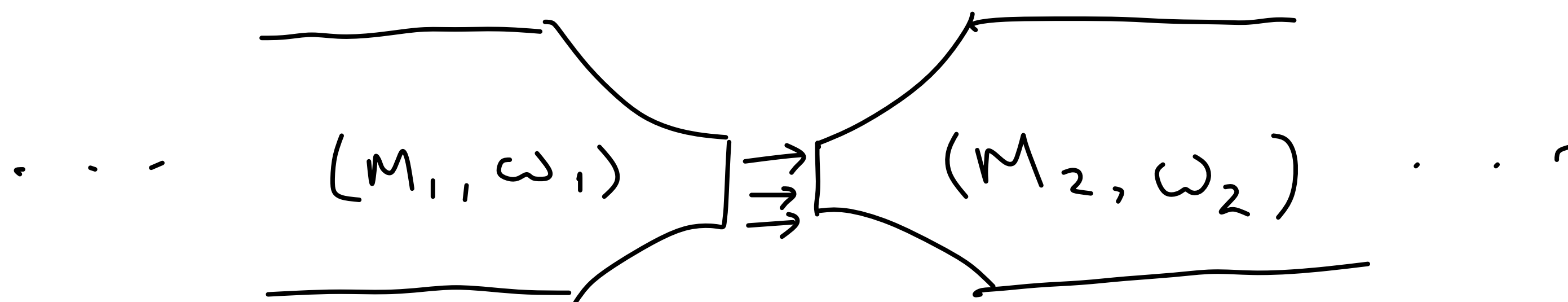
- Gluing two symplectic manifolds along a common boundary may not produce a symplectic manifold

$$S^4 = B^4 \cup_{S^3} B^4 \quad (B^4, \omega_{std})$$

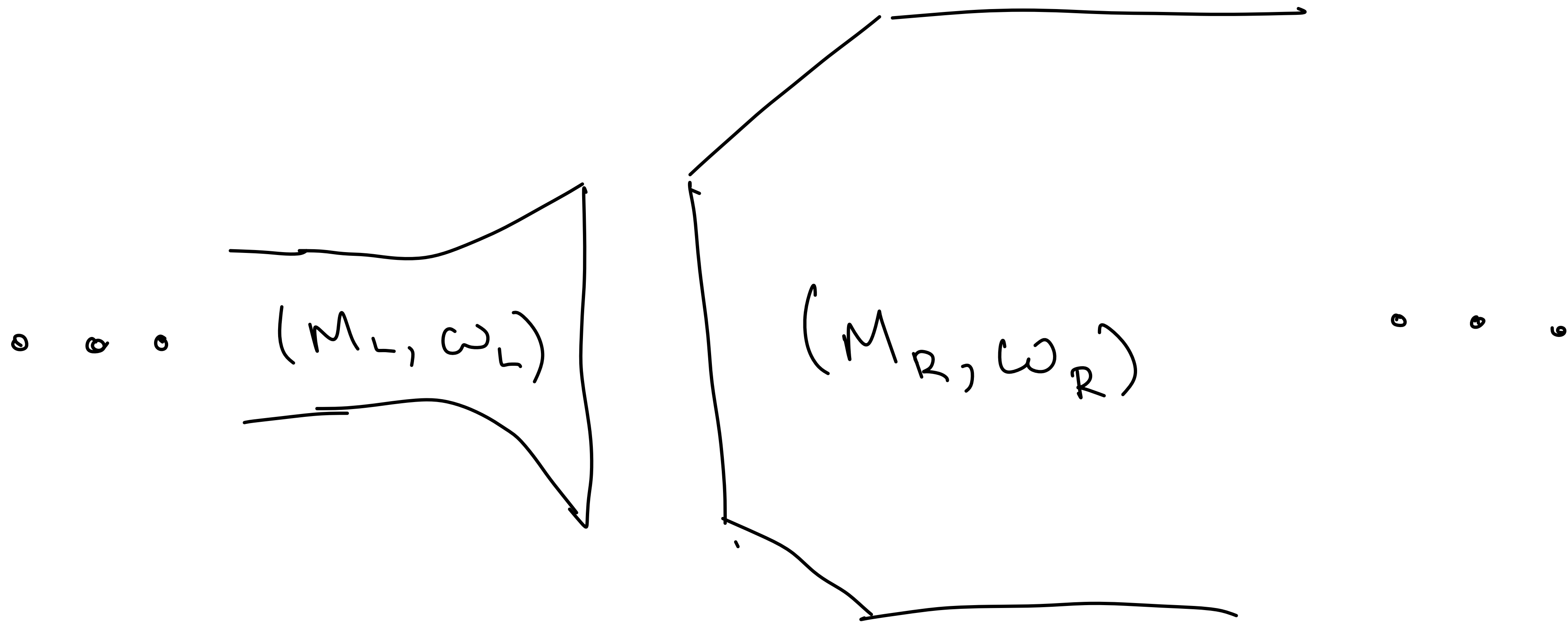
Why?

Closed condition is hard to guarantee

even if we could extend symplectic forms across.

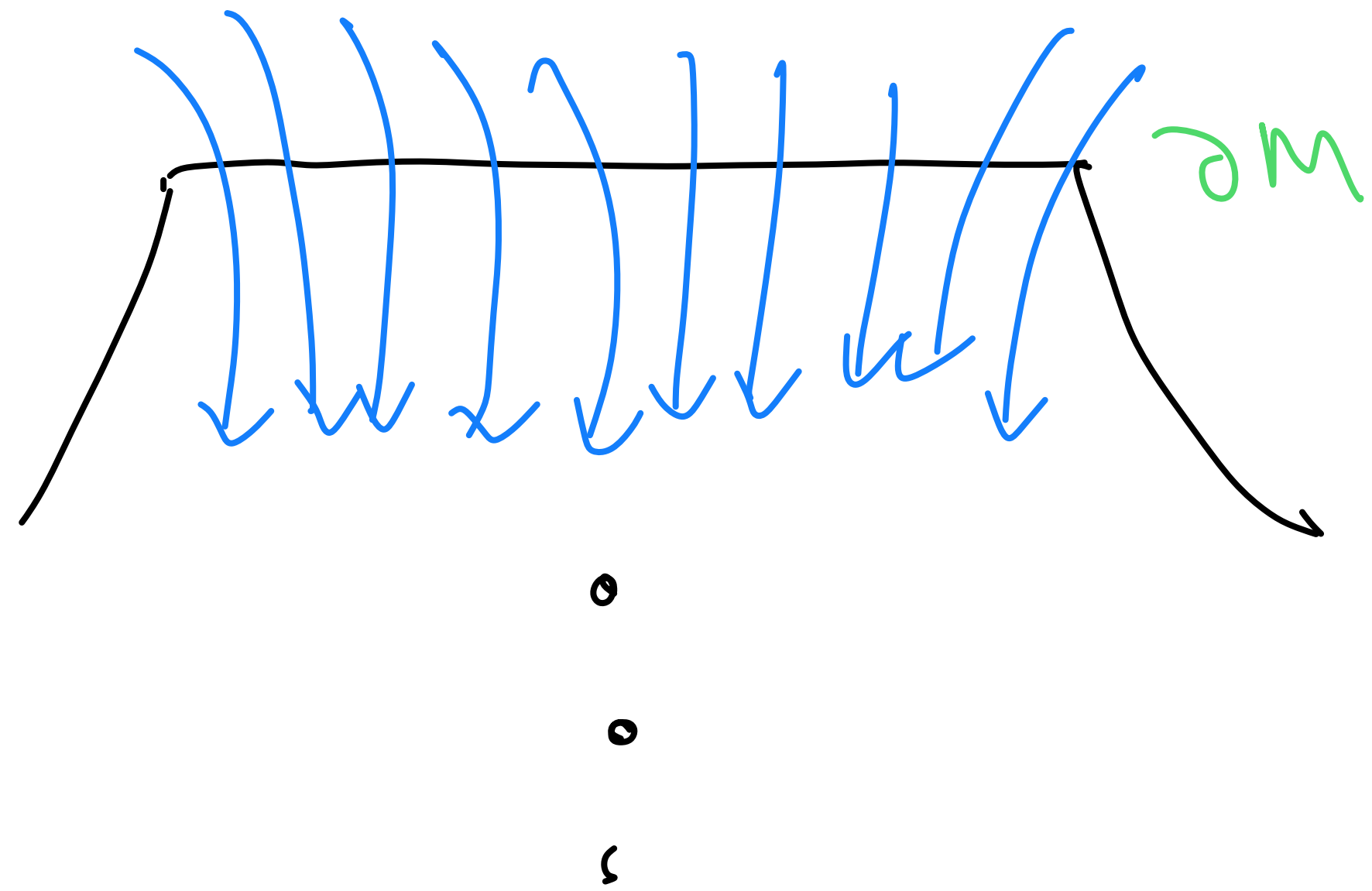


Q: When can a symplectic gluing be done?

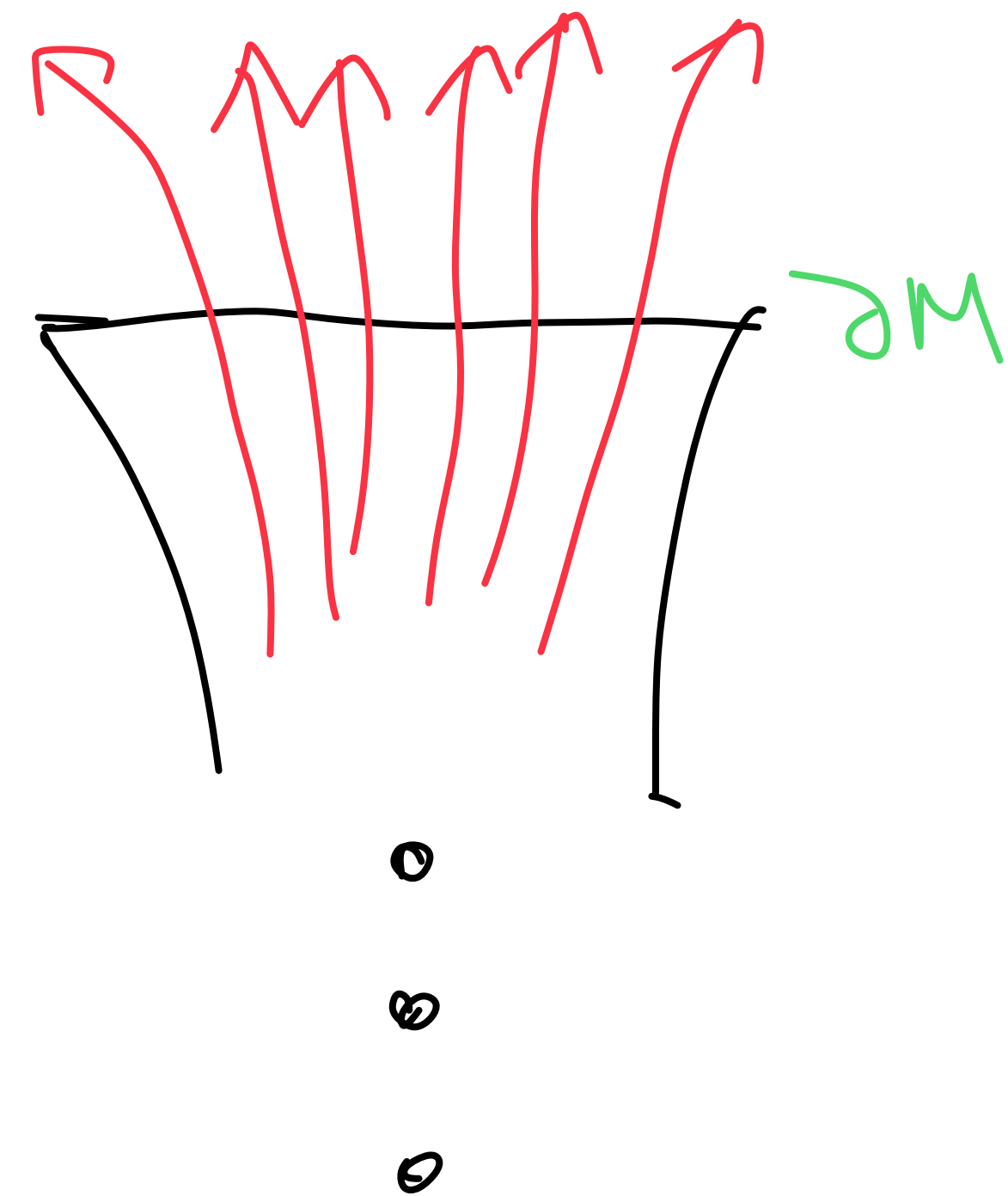


A: Along a <sup>(symplectically)</sup> concave / convex pair of ends.

Concavity / convexity :



Concave



Convex

Liouville vector fields :  $V$  : vector fields s.t.  $\mathcal{L}_V \omega = \lambda$   
 has  $dx = \omega$ ,  $V \in \partial M$ .

# Liouville fields induce contact structures

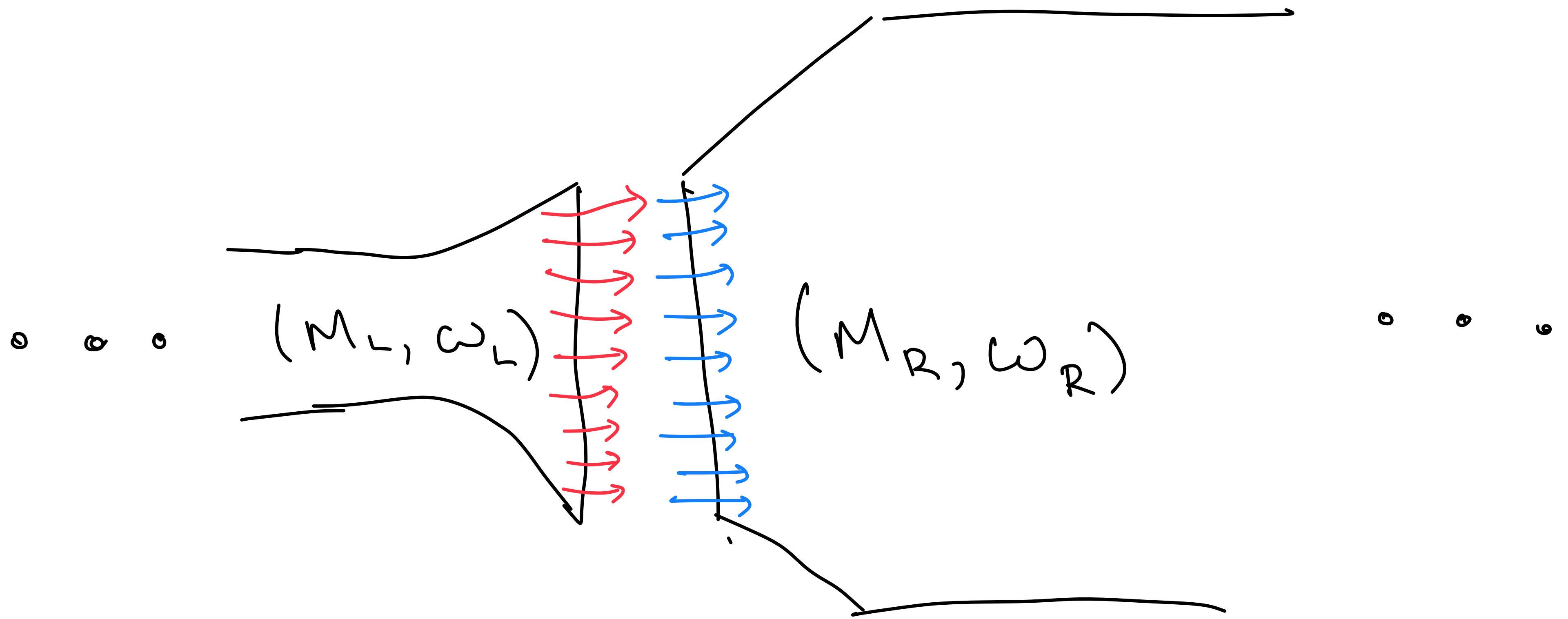
•  $\lambda := \int_V \omega$  has  $\alpha = \lambda|_{\partial M}$

contact if  $V \pitchfork \partial M$

•  $\xi = \text{Ker}(\alpha) \subseteq T\partial M$  is a maximally non-integrable 2-plane field

$(\partial M, \xi)$  contact.





If the gluing is done along a contactomorphism

$$\phi: (\partial M_L, \xi_L) \rightarrow (M_R, \xi_R) \quad [\phi_* \xi_L = \xi_R]$$

then the result is symplectic

# Cutting open closed symplectic manifolds:

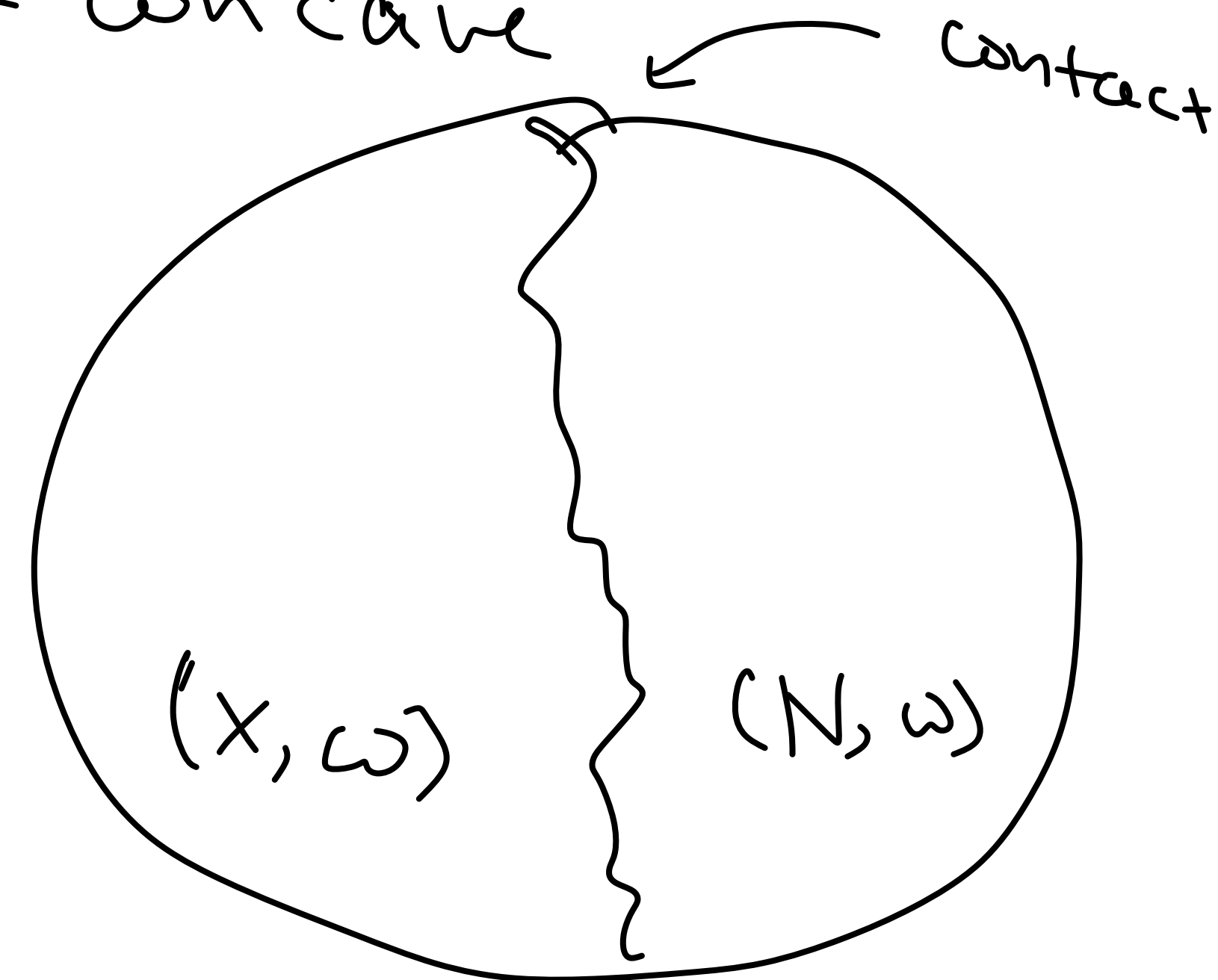
---

•  $(M^4, \omega)$ : closed

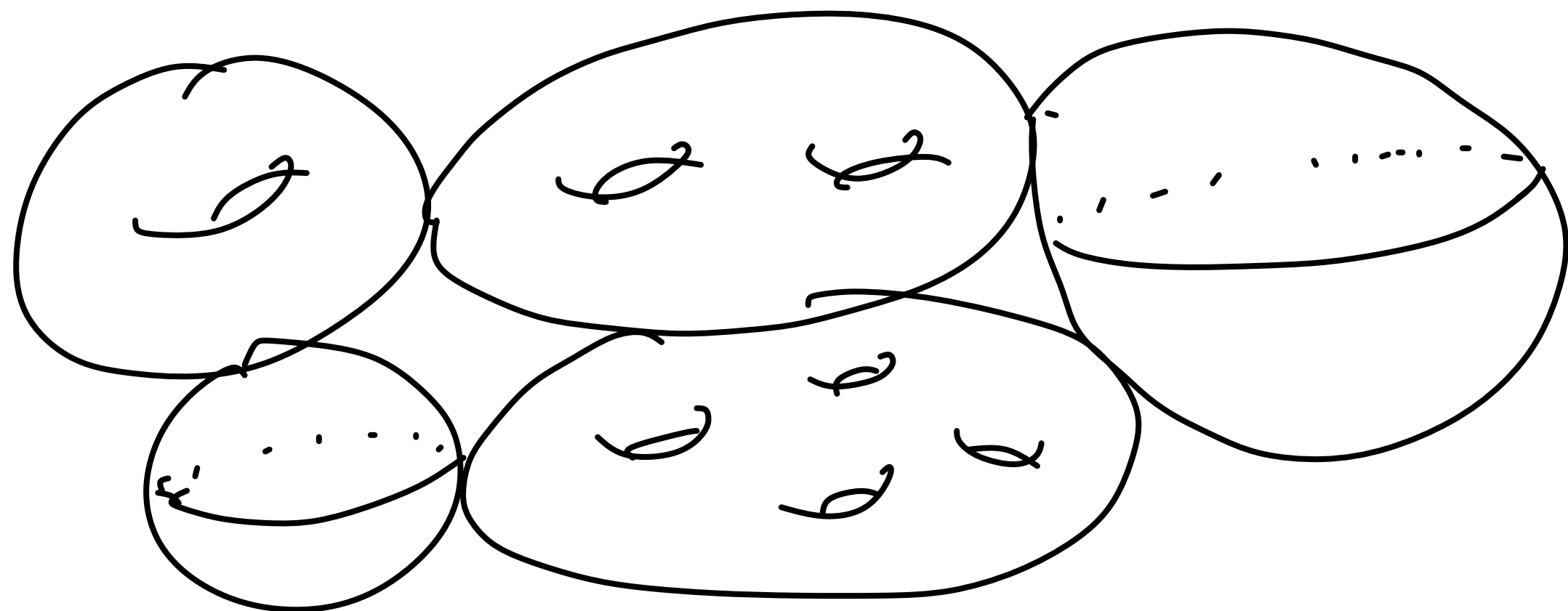
↳  $X \subseteq M$  symplectic + convex

↳  $N \subseteq M$  symplectic + concave

• How do we find these?



# Symplectic surface configurations:



- $D = \bigcup_i D_i \subseteq M$

- $(D_i^2, \omega)$  closed symplectic

- $D_i \pitchfork D_j$  is  $\omega$ -orthogonal for  $i \neq j$

- Thm: (Gay-Sipsicz, Gay-Mark, Li-Min, Li-Max)

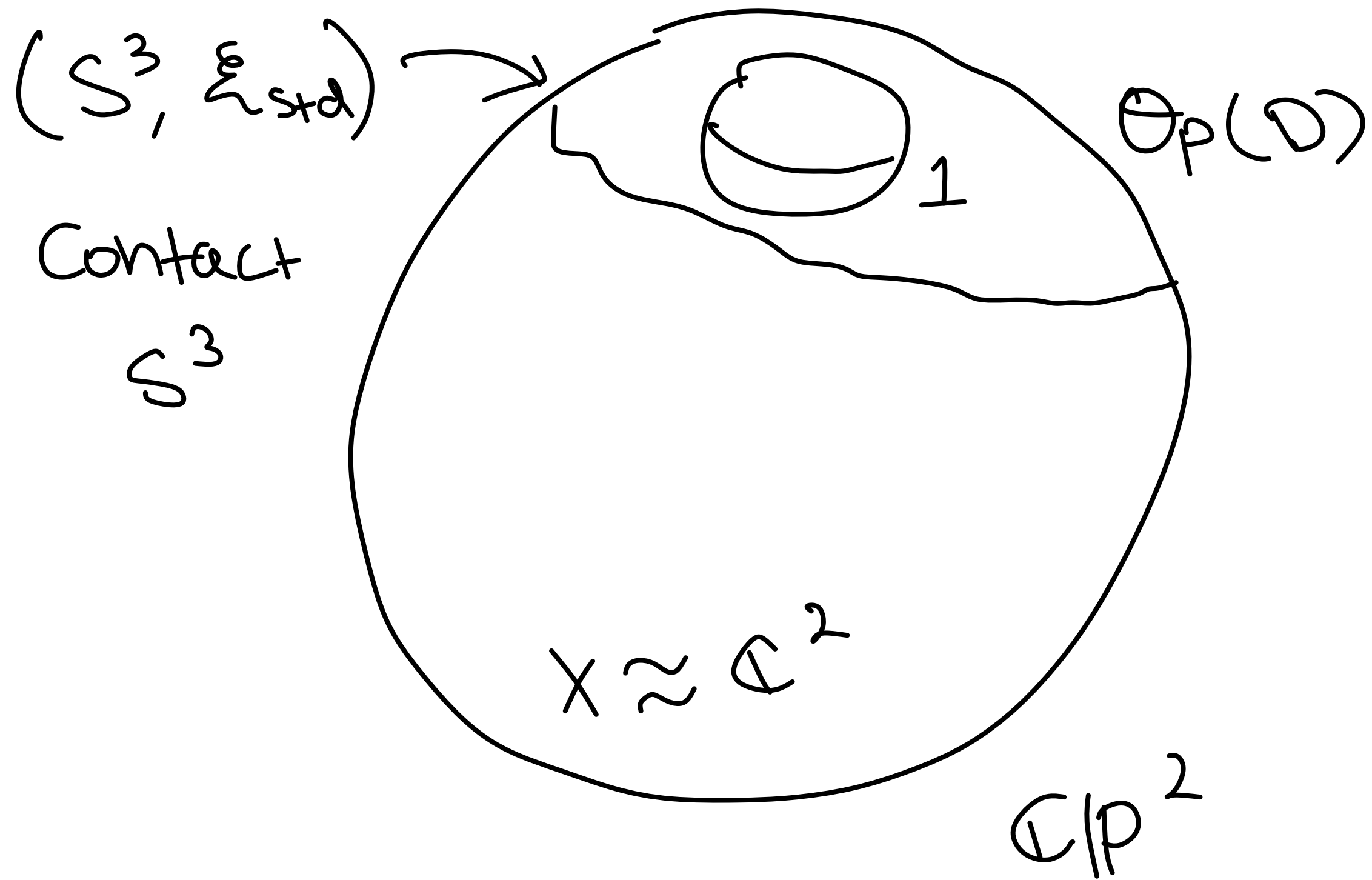
Condition determines when  $\mathcal{O}_p(D)$  is

- Concave

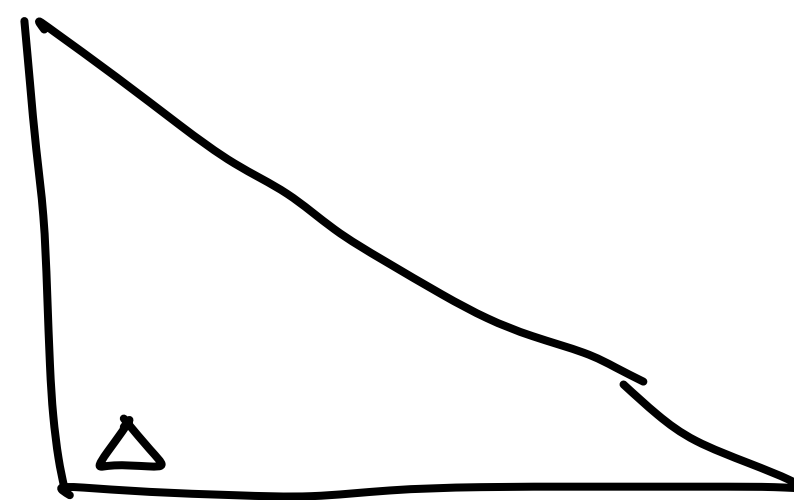
- Convex

# Dissecting $\mathbb{C}P^2$ :

- $D = \mathbb{C}P^1 \subseteq \mathbb{C}P^2$  at  $\infty$
- $\mathbb{C}P^2 - D \cong \mathbb{C}^2$  so



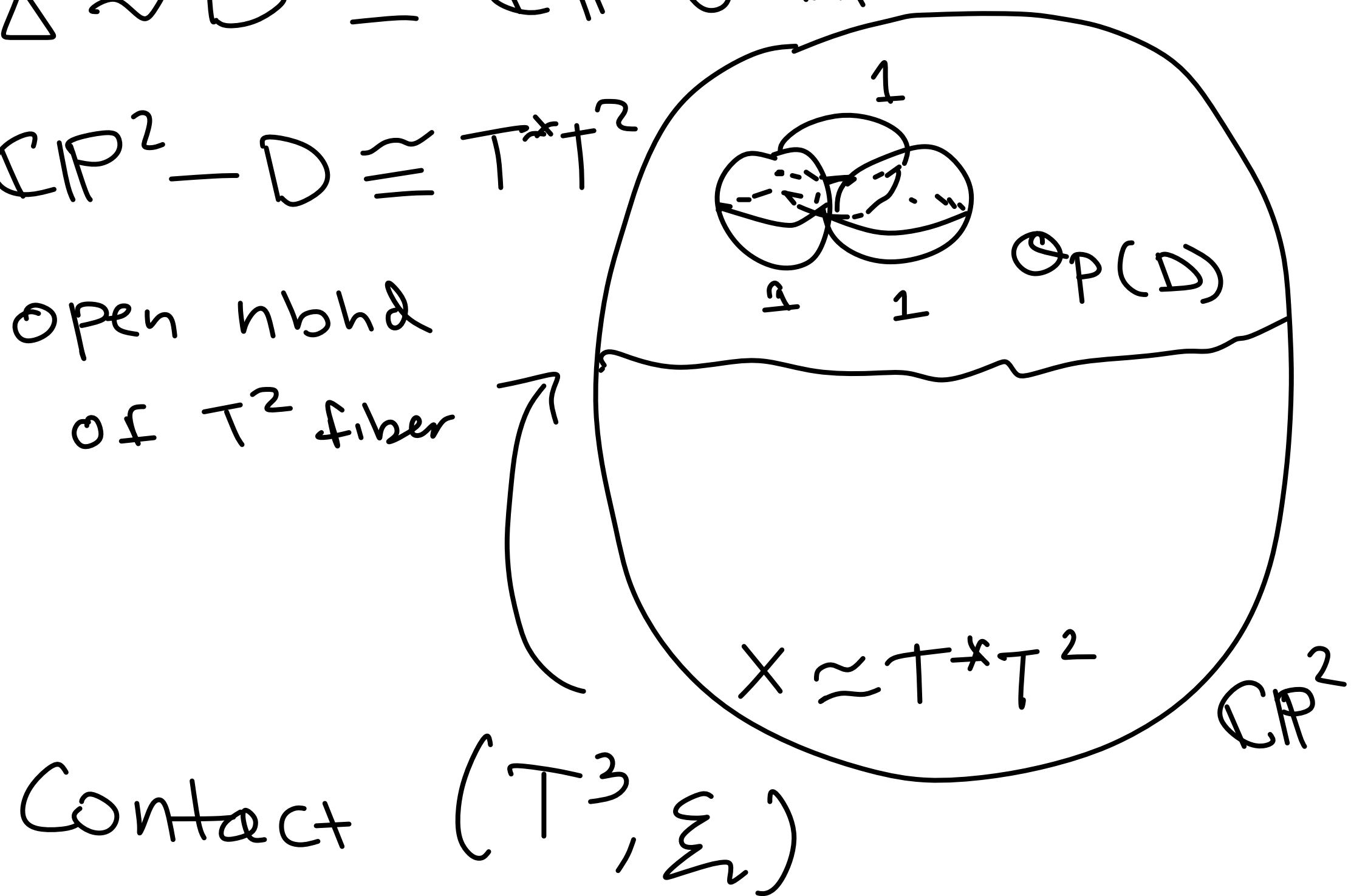
Or via moment polytope



- $\partial\Delta \sim D \cong \mathbb{C}P^1 \cup \mathbb{C}P^1 \cup \mathbb{C}P^1$

- $\mathbb{C}P^2 - D \cong T^*T^2$

open nbhd  
of  $T^2$  fiber



# Heegaard diagrams for 5-manifolds

Geunyoung Kim  
University of Georgia

# Definition

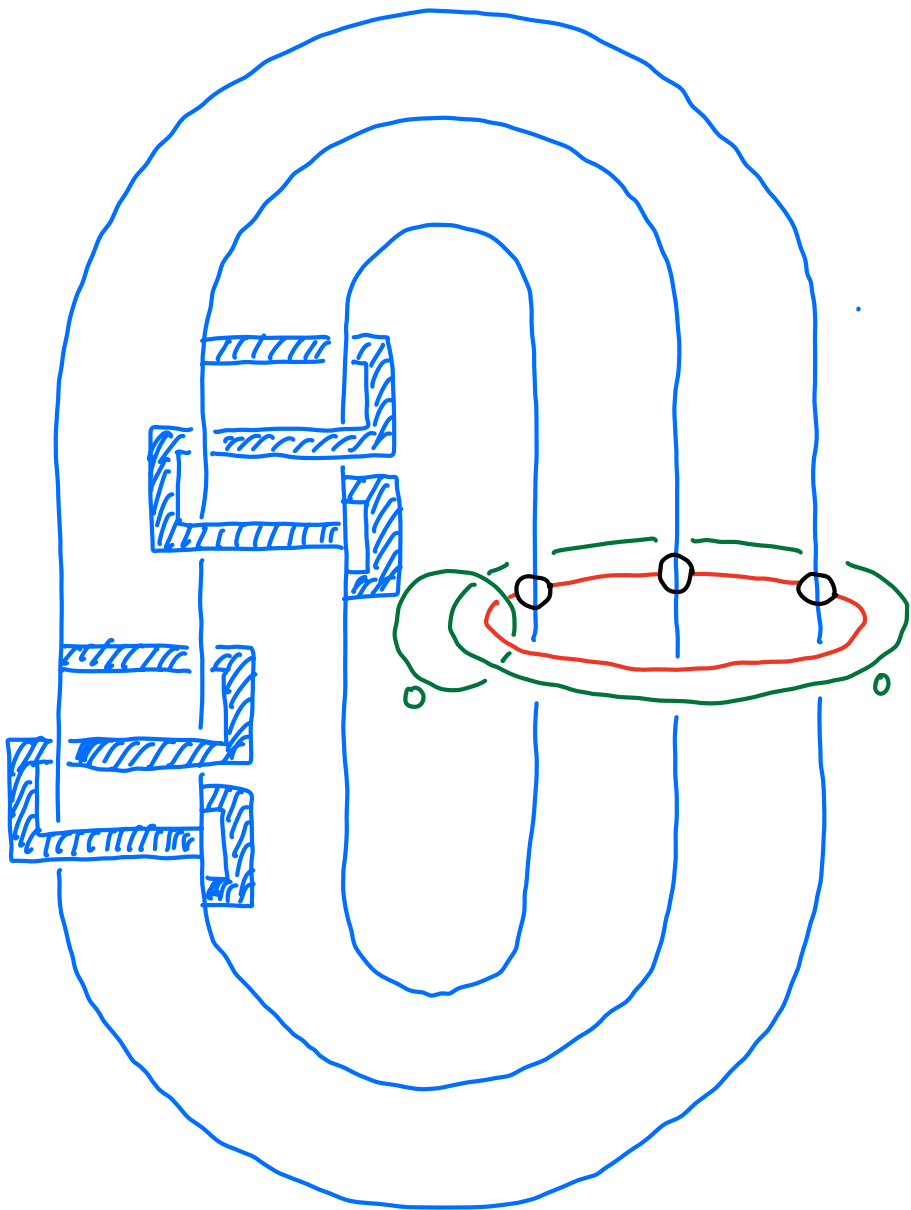
A (5-dimensional) Heegaard diagram is a

triple  $(\Sigma, \alpha, \beta)$  such that

- $\Sigma$  is a closed, orientable, smooth 4-manifold,
- $\alpha = \alpha_1 \cup \dots \cup \alpha_n \subset \Sigma$  is a 2-link with  $V(\alpha) \cong \coprod_n S^2 \times B^2$ ,
- $\beta = \beta_1 \cup \dots \cup \beta_m \subset \Sigma$  is a 2-link with  $V(\beta) \cong \coprod_m S^2 \times B^2$ .

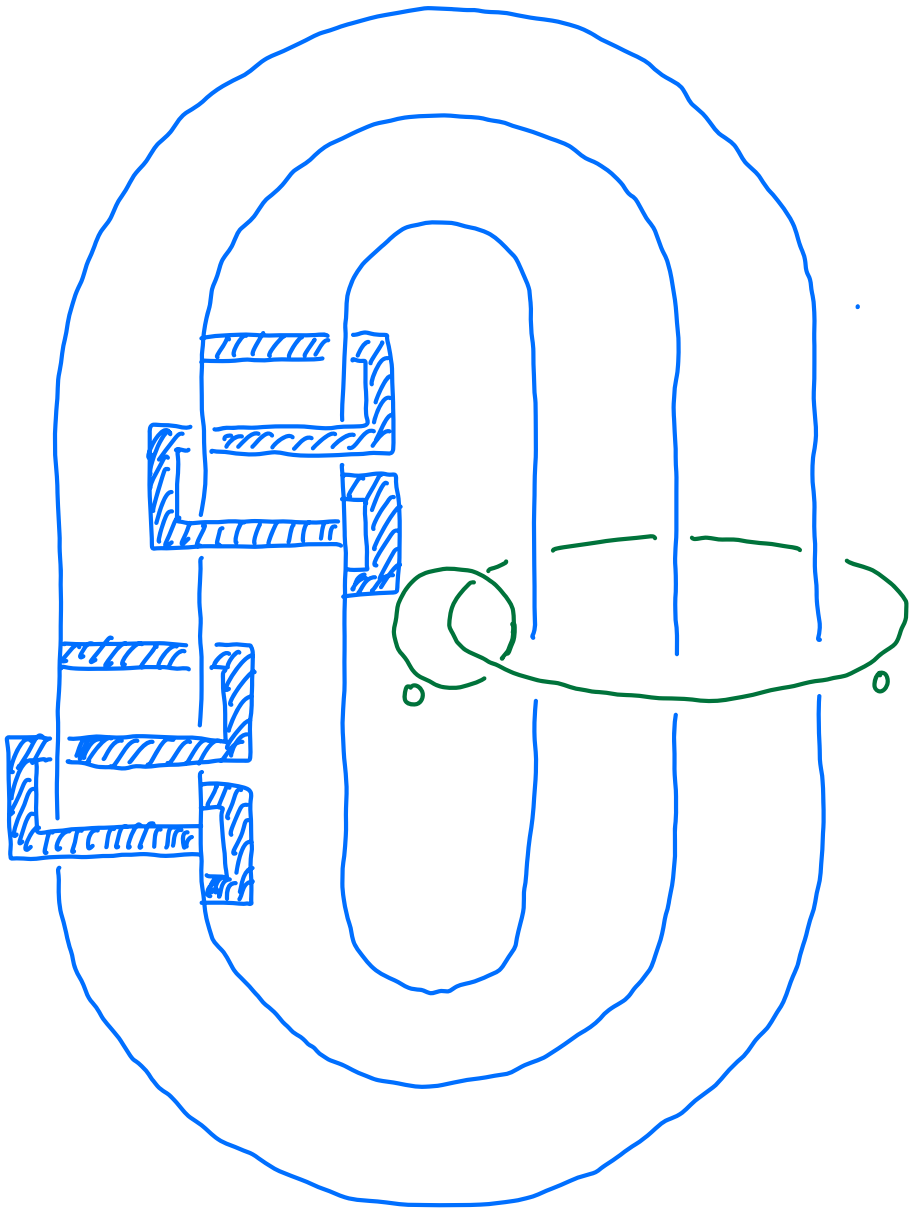
Example

$$(\Sigma, \alpha, \beta) = (S^2 \times S^2, pt \times S^2, \beta)$$

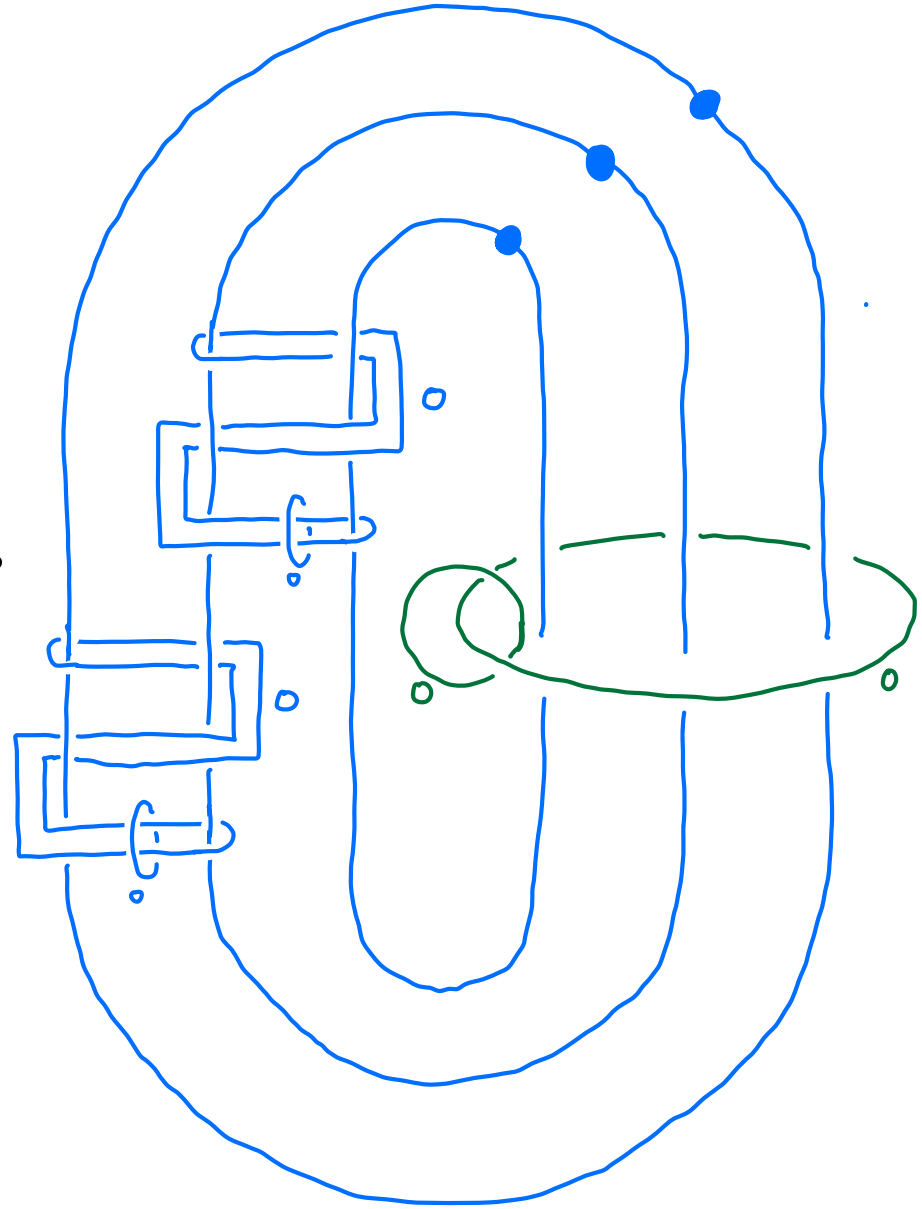


- $|\alpha \cap \beta| = 3$  and  $\alpha \cdot \beta = 1$
- $M_\alpha \cup_\Sigma M_\beta$ : a cobordism from  $\Sigma(\alpha)$  to  $\Sigma(\beta)$   
from  $\Sigma(\alpha) \cong S^4$  to  $\Sigma(\beta) \cong$  homology 4-sphere with  $\pi_1 \neq 0$   
surgery of  $\Sigma$  along  $\alpha$
- $\widehat{M}_\alpha \cup_\Sigma M_\beta$ : contractible 5-manifold not homeomorphic to  $B^5$

How to read off  $\Sigma(\beta)$  from  $(\Sigma, \alpha, \beta)$ ?



$(\Sigma, \beta)$



$\mathcal{I}(\beta)$



## Theorem

Every 5-manifold admits a (5-dimensional) Heegaard diagram.

## Theorem

Two (5-dimensional) Heegaard diagrams represent diffeomorphic 5-manifolds if and only if they are related by isotopies, handle slides, stabilizations, and diffeomorphisms.

Theorem Let  $S^4_K$  be the Gluck twist of  $S^4$  along a 2-knot  $K$  in  $S^4$ .

Let  $(\Sigma, \alpha, \beta) = (S^2 \hat{\times} S^2, F, K \# F)$  be a Heegaard diagram, where  $F$  is a fiber of  $S^2 \hat{\times} S^2$ .

Then  $M_\alpha \cup_{\Sigma} M_\beta$  is a cobordism

from  $\mathcal{I}(\alpha) = S^4$  to  $\mathcal{I}(\beta) = S^4_K$ .

Furthermore, the following are equivalent:

- $S^4_k \underset{\text{diffeo}}{\cong} S^4,$

- $M_\alpha \cup_{\Sigma} M_\beta \underset{\text{diffeo}}{\cong} \text{twice punctured } S^2 \hat{\times} S^3,$

- $(S^2 \hat{\times} S^2, F, K \# F)$  and  $(S^2 \hat{\times} S^2, F, F)$  are related by isotopies, handle slides, stabilizations, and diffeomorphisms,

- $(S^2 \hat{\times} S^2, K \# F) \underset{\text{diffeo}}{\cong} (S^2 \hat{\times} S^2, F).$

Thank you!

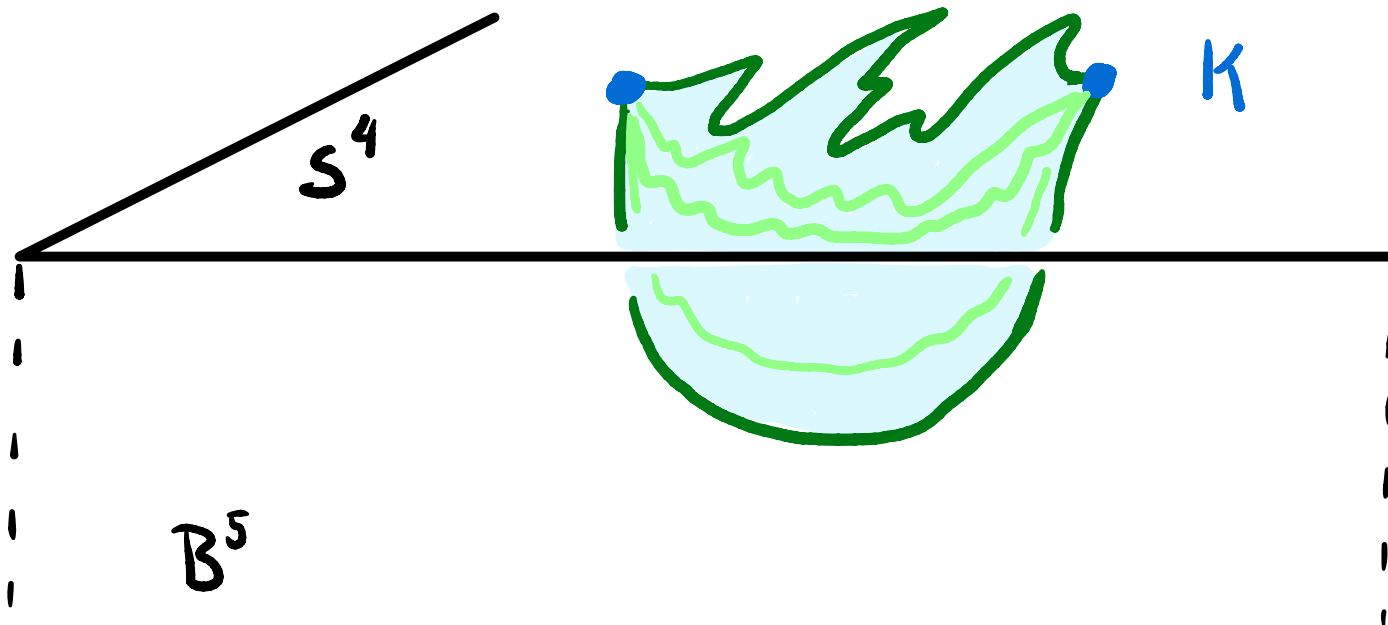
# Smoothing 3-balls in the 5-ball

Daniel Hartman

Tech Topology Conference 2023

# Main Theorem [H]

Let  $K$  be a smooth 2-knot bounding a locally flat top. 3-ball in  $S^4$ . Then the 3-ball is isotopic to a smooth 3-ball in the 5-ball rel boundary iff the Rochlin invariant of  $K$  vanishes.



# Background

Rmk: All isotopies are rel boundary

Thm [Brodny-Gebai] There exist in finitely many <sup>Top</sup> isotopy classes of properly embedded 3-balls bounded by the unknot in  $S^4$ .

Thm [Hughes-Kim-Miller] For every  $g \geq 2$ , there exists pairs of embedded handle bodies of genus  $g$  which are not isotopic in  $S^4$  or  $B^5$ .

Thm [H]  $\pi_0 \text{Emb}_{\partial P} (B^3, B^5) = \{*\}$ ,  $\partial P = \text{Boundary Parallel}$ .

# Definitions

Def Two manifolds  $M_0, M_1$  are **h-cobordant** if  $\exists W^{n+1}$  such that  $\partial W = -M_0 \cup M_1$  and  $i: M_i \rightarrow W$  is a homotopy equivalence. An **S-cobordism** is an h-cob where the inclusions are **simple H.E.**

Fact: Any h-cobordism is an S-cobordism if  $Wh_1(\pi_1) = 0$ , and this is true for  $\pi_1 = \mathbb{Z}$ .

Def: Let  $M^3$  be a smooth 3-manifold with spin structure  $s$ , and let  $X^4$  be any spin 4-manifold with  $\partial X^4 = M^3$  with a compatible spin structure. Then the **Rochlin invariant** of  $M^3$  is

$$P(M^3, s) = \sigma(X^4) \pmod{16}$$

Let  $K$  be a 2-knot with Seifert surface  $M^3$  and spin structure induced by  $S^4$ . Then **Rochlin inv of  $K$**  is

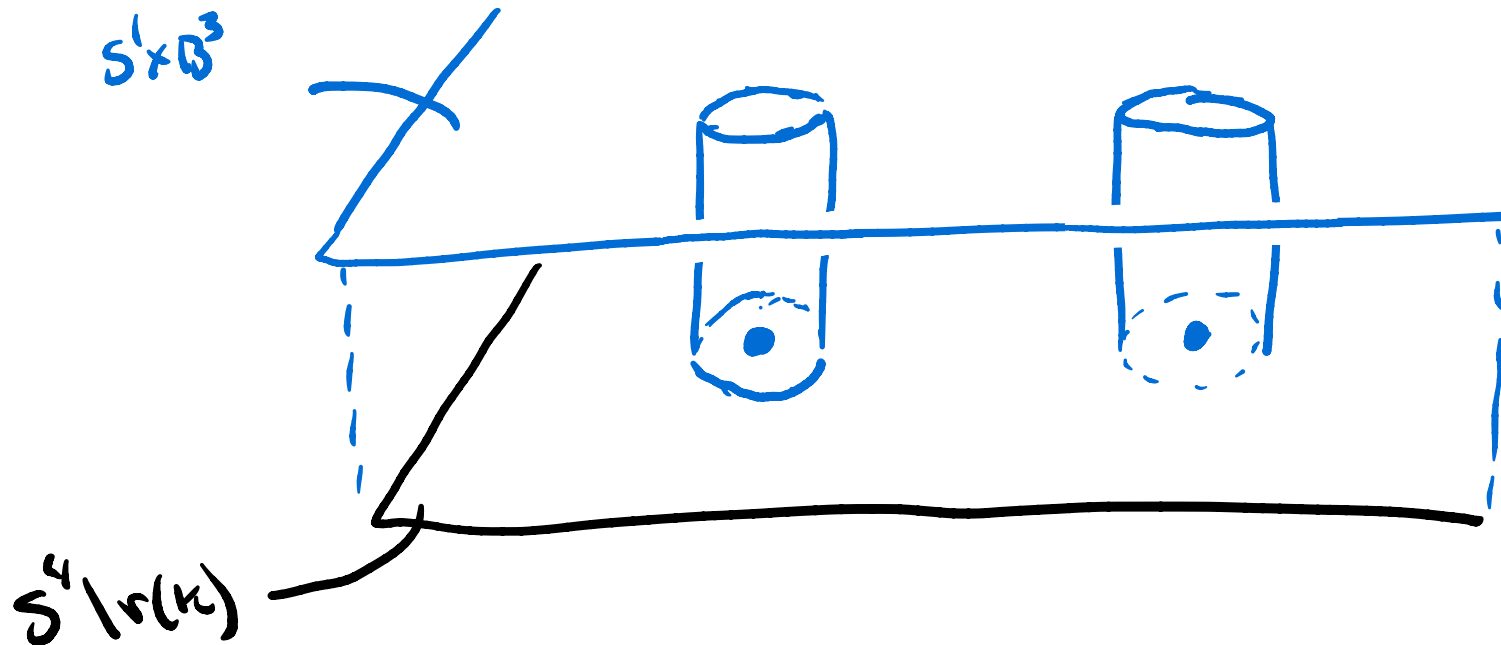
$$P(K) = \frac{P(M^3, s)}{8}$$



Pf

Step 1:  $S^4 \setminus \nu(K)$  is smoothly 5-cobordant to  $S^1 \times B^3$   
iff  $\rho(K) = 0$  [Wall, Shaneson, Rohrer-Massey-Saveliev]

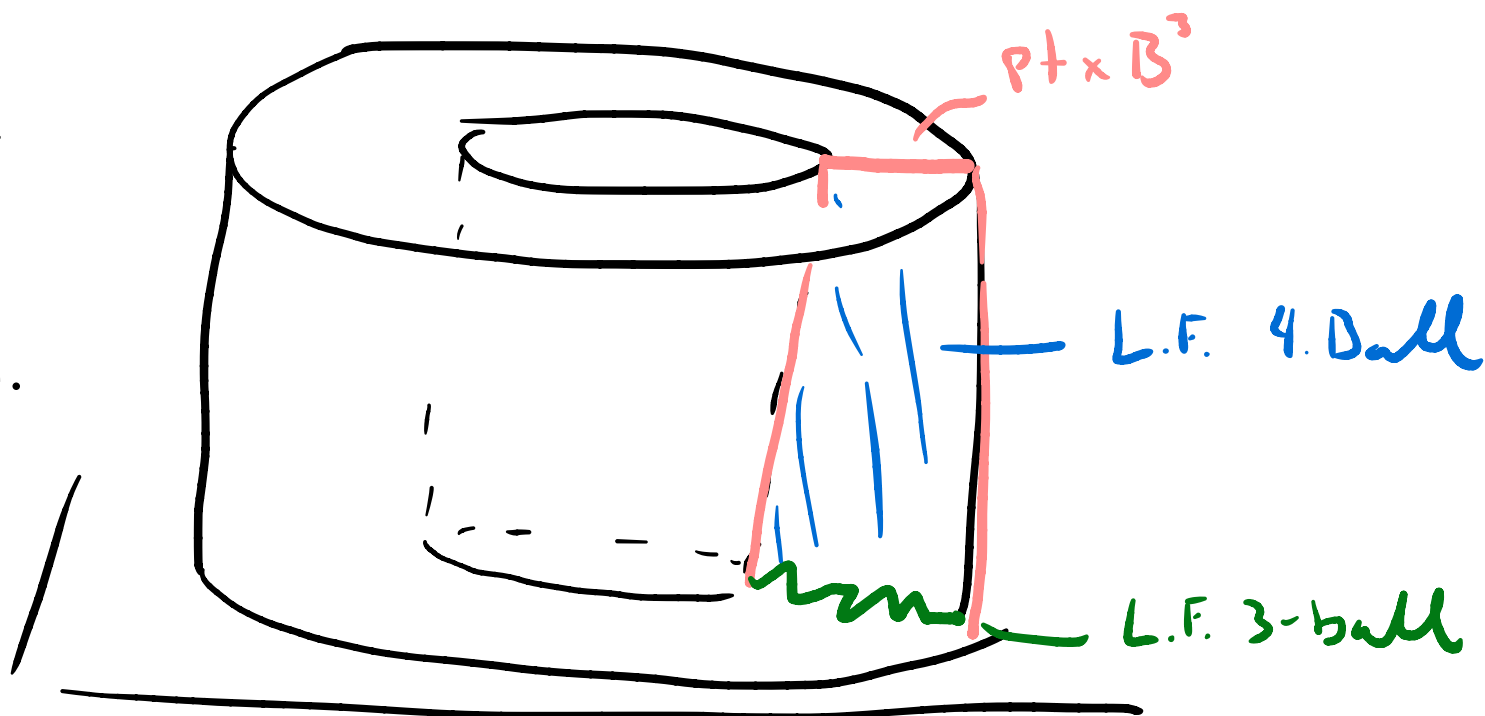
Stack the cobordism on  $S^4$  (Top hat construction)



- Step 2:
- Every  $S$ -cobordism with  $\pi_1$ -good is homeo to a product [Freedman-Quinn].
  - Every L.F. 3-sphere in  $S^1 \times S^3$  extends to a L.F. 4-ball in  $S^1 \times B^4$ .

use these two facts to build a L.F. 4-ball embedded in the  $S$ -cobordism.

Note that  
Half the  $\partial$   
is smooth.



step 3 • There is a unique smooth structure on  $B^5$

We use this to embed our entire construction into  $B^5$  smoothly.  $\square$

## Questions

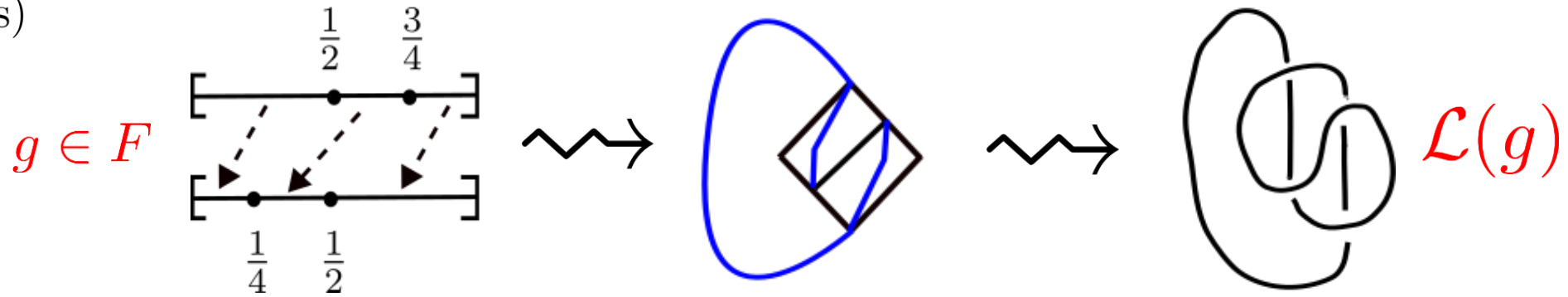
1) Can you have a Rochlin invariant 1  $\mathbb{Z}$ -knot?

2) Is codim  $\perp$  top transversality an open condition in ambient  $\dim \geq 5$ ?

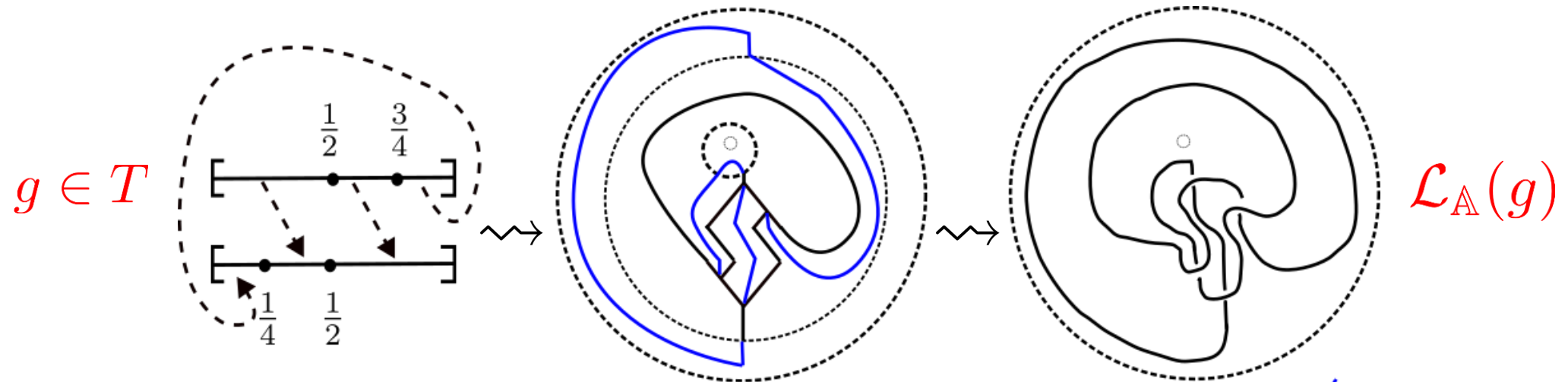
THANK YOU!

# Constructing Annular Links from Thompson's group $T$

Jones '14: Links in  $S^3$  from Thompson's group  $F$  (=  $PL$  functions  $[0, 1] \rightarrow [0, 1]$  + some extra conditions)

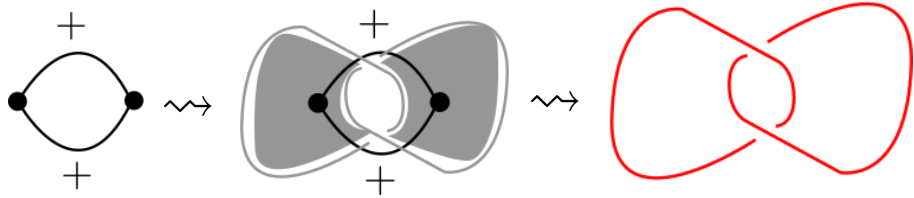


LL '23: Links in  $\mathbb{A} \times I$  from Thompson's group  $T$  (=  $PL$  functions  $S^1 \rightarrow S^1$  + some extra conditions)

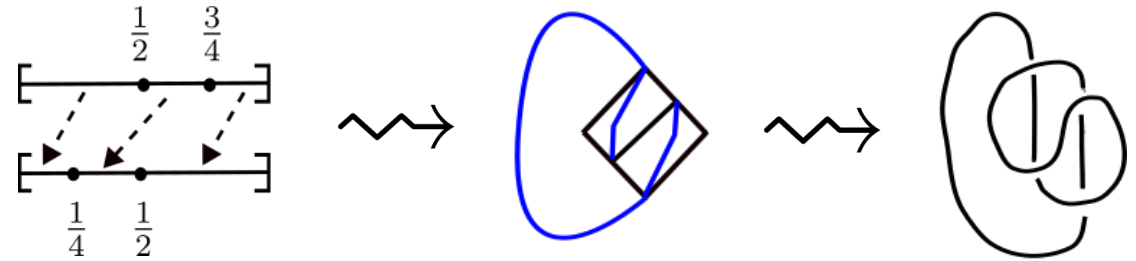


# Known correspondence: graphs and links

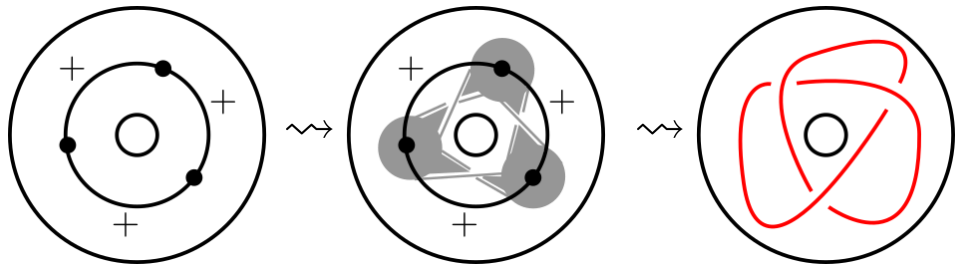
Tait graphs:  $\Gamma \hookrightarrow \mathbb{R}^2 \rightsquigarrow L(\Gamma)$



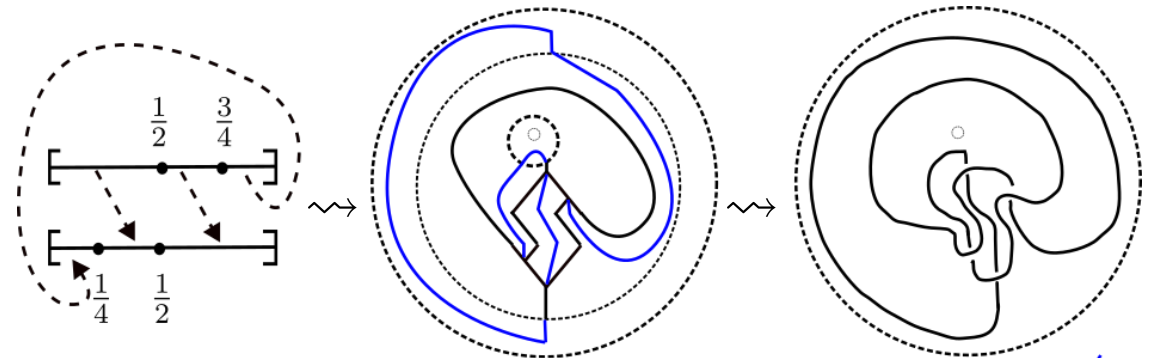
Thm (Jones '14): Given any edge-signed planar graph  $\Gamma$ ,  $\exists g \in F$  such that  $\mathcal{L}(g) = L(\Gamma)$ .



Extension to  $\mathbb{A}$ :  $\Gamma \hookrightarrow \mathbb{A} \rightsquigarrow L_{\mathbb{A}}(\Gamma)$



Thm (LL '23): Given any edge-signed graph  $\Gamma \hookrightarrow \mathbb{A}$ ,  $\exists g \in T$  such that  $\mathcal{L}_{\mathbb{A}}(g) = L_{\mathbb{A}}(\Gamma)$ .



# Connections to Representation Theory

$g \in F \rightsquigarrow \mathcal{L}(g) \rightsquigarrow$  Jones polynomial  $V_{\mathcal{L}(g)}(t)$

Thm (Aiello-Conti-Jones '18): For certain values of  $t$ , Jones polynomial defines a **function of positive type** on  $F$ .

$h \in T \rightsquigarrow \mathcal{L}_{\mathbb{A}}(h) \rightsquigarrow$  Jones polynomial  $V_{\mathcal{L}_{\mathbb{A}}(g)}(t)$

Thm (LL '23): For certain values of  $t$ , Jones polynomial of annular links defines a **function of positive type** on  $T$ .

Fact: given a group  $g$ , **{functions of positive type from  $g \rightarrow \mathbb{C}$ }**  $\longleftrightarrow$  {unitary representations of  $g$ }.

Moral: The Jones polynomial of  $\mathcal{L}(g)$  can arise as the coefficient of a unitary representation of  $F$ . Similarly, the Jones polynomial of the annular link  $\mathcal{L}_{\mathbb{A}}(g)$  can arise as a unitary representation of  $T$ .

# Heegaard Floer symplectic homology and generalized Viterbo's isomorphism theorem

Roman Krutowski

University of California, Los Angeles

based on joint work with Tianyu Yuan

Tech Topology conference  
8-10 December, 2023



- Let  $(M^{2n}, \lambda)$  be a **Liouville domain** with  $\lambda$  a primitive of a symplectic form  $\omega = d\lambda$  and  $Z$  be an outward-pointing Liouville vector field. Let

$$\hat{M} = M \cup_{\partial M} [0; +\infty) \times \partial M$$

be its completion,

- Let  $(M^{2n}, \lambda)$  be a **Liouville domain** with  $\lambda$  a primitive of a symplectic form  $\omega = d\lambda$  and  $Z$  be an outward-pointing Liouville vector field. Let

$$\hat{M} = M \cup_{\partial M} [0; +\infty) \times \partial M$$

be its completion,

- Symplectic cohomology**  $SH_*(M)$  of  $M$  is an invariant of  $M$  (up to a contact type symplectomorphism), introduced by Viterbo, is a powerful and well-studied invariant in symplectic topology.

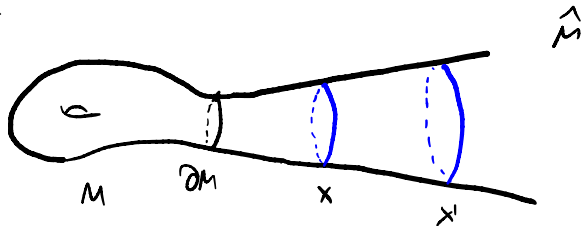
# Symplectic cohomology

- Let  $(M^{2n}, \lambda)$  be a **Liouville domain** with  $\lambda$  a primitive of a symplectic form  $\omega = d\lambda$  and  $Z$  be an outward-pointing Liouville vector field. Let

$$\hat{M} = M \cup_{\partial M} [0; +\infty) \times \partial M$$

be its completion,

- Symplectic cohomology**  $SH_*(M)$  of  $M$  is an invariant of  $M$  (up to a contact type symplectomorphism), introduced by Viterbo, is a powerful and well-studied invariant in symplectic topology.
- Cochains are generated by **closed orbits** of a Hamiltonian motion of a particle in the completion  $\hat{M}$ .

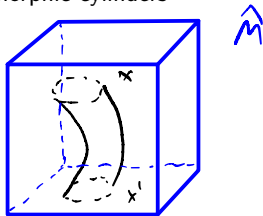


- Let  $(M^{2n}, \lambda)$  be a **Liouville domain** with  $\lambda$  a primitive of a symplectic form  $\omega = d\lambda$  and  $Z$  be an outward-pointing Liouville vector field. Let

$$\hat{M} = M \cup_{\partial M} [0; +\infty) \times \partial M$$

be its completion,

- Symplectic cohomology**  $SH_*(M)$  of  $M$  is an invariant of  $M$  (up to a contact type symplectomorphism), introduced by Viterbo, is a powerful and well-studied invariant in symplectic topology.
- Cochains are generated by **closed orbits** of a Hamiltonian motion of a particle in the completion  $\hat{M}$ .
- The differential  $d_{SH}$  counts (with signs) pseudoholomorphic cylinders  $u: \mathbb{R} \times S^1 \rightarrow \hat{M}$  connecting such orbits.



- Let  $(M^{2n}, \lambda)$  be a **Liouville domain** with  $\lambda$  a primitive of a symplectic form  $\omega = d\lambda$  and  $Z$  be an outward-pointing Liouville vector field. Let

$$\hat{M} = M \cup_{\partial M} [0; +\infty) \times \partial M$$

be its completion,

- Symplectic cohomology**  $SH_*(M)$  of  $M$  is an invariant of  $M$  (up to a contact type symplectomorphism), introduced by Viterbo, is a powerful and well-studied invariant in symplectic topology.
- Cochains are generated by **closed orbits** of a Hamiltonian motion of a particle in the completion  $\hat{M}$ .
- The differential  $d_{SH}$  counts (with signs) pseudoholomorphic cylinders  $u: \mathbb{R} \times S^1 \rightarrow \hat{M}$  connecting such orbits.

**Theorem (Viterbo, '99; Abbondandolo-Schwarz '06, Abouzaid '10)**

*For an oriented, closed smooth manifold  $Q$  there is an isomorphism*

$$SH_b^*(T^*Q) \cong H_{n-*}(\Lambda Q).$$

- Let us instead consider a Hamiltonian motion of  $\kappa \geq 1$  **identical particles** in  $\hat{M}$ . Is there a Floer-theoretic invariant of  $M$  associated with closed orbits of such motion?

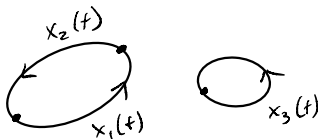
- Let us instead consider a Hamiltonian motion of  $\kappa \geq 1$  **identical particles** in  $\hat{M}$ . Is there a Floer-theoretic invariant of  $M$  associated with closed orbits of such motion?
- Alternatively, is there a reasonable notion of symplectic cohomology of the  $\kappa$ -th **symmetric product**  $\text{Sym}^\kappa(\hat{M})$  (which is not even a smooth manifold, in general)?

- Let us instead consider a Hamiltonian motion of  $\kappa \geq 1$  **identical particles** in  $\hat{M}$ . Is there a Floer-theoretic invariant of  $M$  associated with closed orbits of such motion?
- Alternatively, is there a reasonable notion of symplectic cohomology of the  $\kappa$ -th **symmetric product**  $\text{Sym}^\kappa(\hat{M})$  (which is not even a smooth manifold, in general)?
- Adapting the approach of Colin-Honda-Tian, based on Lipshitz's **cylindrical reformulation**, we introduced such an invariant. We call it **Heegaard Floer symplectic cohomology** (HFSH).



- Let us instead consider a Hamiltonian motion of  $\kappa \geq 1$  **identical particles** in  $\hat{M}$ . Is there a Floer-theoretic invariant of  $M$  associated with closed orbits of such motion?
- Alternatively, is there a reasonable notion of symplectic cohomology of the  $\kappa$ -th **symmetric product**  $\text{Sym}^\kappa(\hat{M})$  (which is not even a smooth manifold, in general)?
- Adapting the approach of Colin-Honda-Tian, based on Lipshitz's **cylindrical reformulation**, we introduced such an invariant. We call it **Heegaard Floer symplectic cohomology** (HFSH).
- The cochains correspond to **tuples** of closed Hamiltonian orbits of cumulative time  $\kappa$ .

$$\kappa = 3$$



$$X = (x_1, x_2, x_3)$$

$$\sigma(X) = (12) \in \mathcal{S}_3$$

## Theorem (K.-Yuan)

*The Heegaard Floer symplectic cohomology groups  $SH_{\kappa}^*(M)$  are well defined and are invariants of the Liouville domain  $M$ , independent of all intrinsic choices of the Floer data required for its setup.*

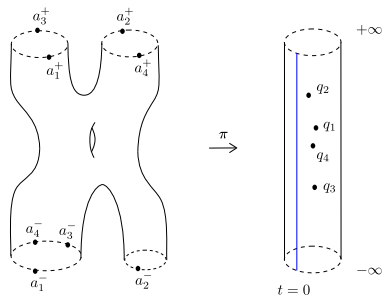
## Theorem (K.-Yuan)

The Heegaard Floer symplectic cohomology groups  $SH_{\kappa}^*(M)$  are well defined and are invariants of the Liouville domain  $M$ , independent of all intrinsic choices of the Floer data required for its setup.

- The differential  $d_{SH_{\kappa}}$  is given by counting curves  $u = (\pi, \nu): S \rightarrow \mathbb{R} \times S^1 \times \hat{M}$  connecting two orbit tuples  $x$  and  $x'$  with  $(S, \pi) \in \mathcal{H}_{\kappa, \chi}^{\sigma, \sigma'}$ , where  $\sigma$  and  $\sigma'$  are permutations in  $\mathfrak{S}_{\kappa}$  associated with  $x$  and  $x'$ .

$$\sigma(x) = (13)(24)$$

$$\sigma(x') = (143)$$

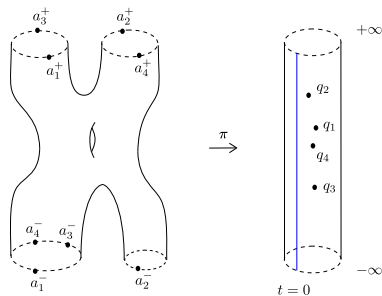


## Theorem (K.-Yuan)

The Heegaard Floer symplectic cohomology groups  $SH_{\kappa}^*(M)$  are well defined and are invariants of the Liouville domain  $M$ , independent of all intrinsic choices of the Floer data required for its setup.

- The differential  $d_{SH_{\kappa}}$  is given by counting curves  $u = (\pi, \nu): S \rightarrow \mathbb{R} \times S^1 \times \hat{M}$  connecting two orbit tuples  $x$  and  $x'$  with  $(S, \pi) \in \mathcal{H}_{\kappa, \chi}^{\sigma, \sigma'}$ , where  $\sigma$  and  $\sigma'$  are permutations in  $\mathfrak{S}_{\kappa}$  associated with  $x$  and  $x'$ .

- Key features:

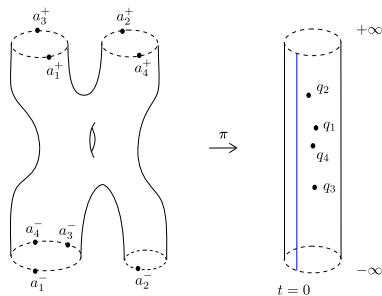


## Theorem (K.-Yuan)

The Heegaard Floer symplectic cohomology groups  $SH_{\kappa}^*(M)$  are well defined and are invariants of the Liouville domain  $M$ , independent of all intrinsic choices of the Floer data required for its setup.

- The differential  $d_{SH_{\kappa}}$  is given by counting curves  $u = (\pi, \nu): S \rightarrow \mathbb{R} \times S^1 \times \hat{M}$  connecting two orbit tuples  $x$  and  $x'$  with  $(S, \pi) \in \mathcal{H}_{\kappa, \chi}^{\sigma, \sigma'}$ , where  $\sigma$  and  $\sigma'$  are permutations in  $\mathfrak{S}_{\kappa}$  associated with  $x$  and  $x'$ .

- Key features:**
- using different Hamiltonians for different ends to achieve **somewhere injectivity**;

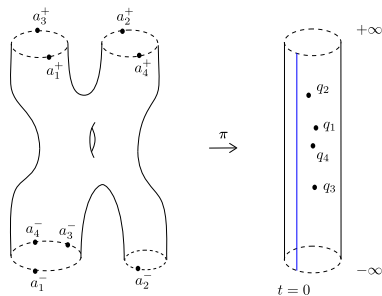


## Theorem (K.-Yuan)

The Heegaard Floer symplectic cohomology groups  $SH_{\kappa}^*(M)$  are well defined and are invariants of the Liouville domain  $M$ , independent of all intrinsic choices of the Floer data required for its setup.

- The differential  $d_{SH_{\kappa}}$  is given by counting curves  $u = (\pi, \nu): S \rightarrow \mathbb{R} \times S^1 \times \hat{M}$  connecting two orbit tuples  $x$  and  $x'$  with  $(S, \pi) \in \mathcal{H}_{\kappa, \chi}^{\sigma, \sigma'}$ , where  $\sigma$  and  $\sigma'$  are permutations in  $\mathfrak{S}_{\kappa}$  associated with  $x$  and  $x'$ .

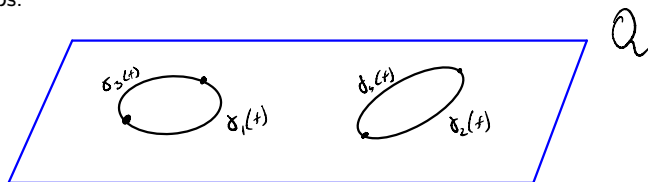
- Key features:**
- using different Hamiltonians for different ends to achieve **somewhere injectivity**;
- Floer data on **branched manifolds** associated with Hurwitz spaces.



- To compute  $SH_{\kappa}^*(T^*Q)$  we provide a Morse-theoretic model, the so-called **free multiloop complex**.

- To compute  $SH_{\kappa}^*(T^*Q)$  we provide a Morse-theoretic model, the so-called **free multiloop complex**.
- We denote via  $\Lambda_{\kappa}^1(Q)$  the space of free  $\kappa$ -multiloops of class  $W^{1,2}$ . The chain complex  $CM_*(\Lambda_{\kappa}^1(Q))$  has generators associated with **geodesic  $\kappa$ -multiloops**.

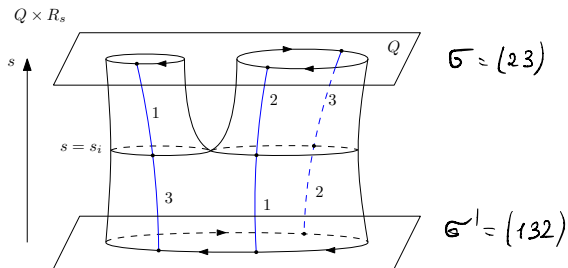
$\kappa=4$





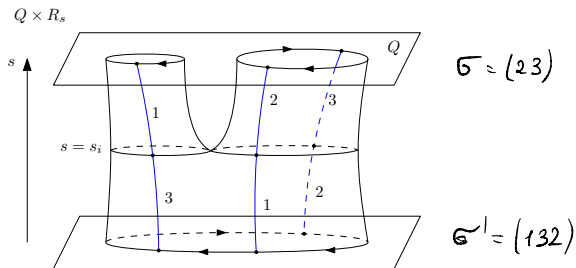
# Morse multiloop complex

- Differential  $\partial_M$  counts piecewise (pseudo)-gradient trajectories connecting two geodesic multiloops  $\gamma, \gamma' \in \Lambda_{\kappa}^1(Q)$ .



# Morse multiloop complex

- Differential  $\partial_M$  counts piecewise (pseudo)-gradient trajectories connecting two geodesic multiloops  $\gamma, \gamma' \in \Lambda_{\kappa}^1(Q)$ .



## Theorem (K.-Yuan)

$(CM_*(\Lambda_{\kappa}^1(Q)), \partial_M)$  is a chain complex and its homology groups  $HM_*(\Lambda_{\kappa}^1(Q))$  are independent of all auxiliary choices.

## Theorem (K.-Yuan)

For  $Q$  an orientable manifold with vanishing second Stiefel-Whitney class  $w_2(TQ) \in H^2(T^*Q; \mathbb{Z}/2)$  there is a chain map

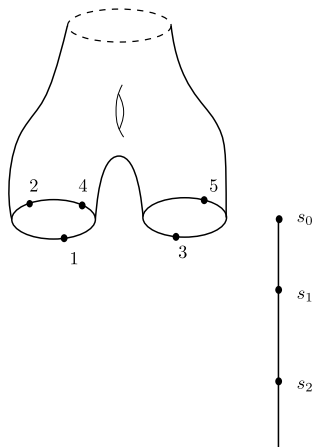
$$\mathcal{F}: SC_{\kappa, \text{unsym}}^*(T^*Q) \rightarrow CM_{\kappa, n-*}(\Lambda_{\kappa}^1(Q)),$$

and it induces an *isomorphism* on the homology

$$SH_{\kappa, \text{unsym}}^*(T^*Q) \cong HM_{\kappa, n-*}(\Lambda_{\kappa}^1(Q)).$$

# Generalized Viterbo's isomorphism

- The chain map  $\mathcal{F}$  is given by counting elements of mixed moduli spaces as in the figure and it coincides with [Abouzaid's map](#) for  $\kappa = 1$ .



**Thank you for your attention!**

CORKS FOR  
DIFFEOMORPHISMS  
TERRIN WARREN (UGA)  
TECH TOPOLOGY 2023

joint work with Slava Krushkal, Anubhav Mukherjee, and Mark Powell

# MOTIVATION

## EXOTIC MFLDS

Thm: (Freedman, Donaldson 80s)

$\exists X_0, X_1$  : smooth simply connected  
4mflds such that

$$X_0 \underset{\text{homeo}}{\cong} X_1 \quad X_0 \not\underset{\text{diffeo}}{\cong} X_1$$

# MOTIVATION

## EXOTIC MFLDS

Thm: (Freedman, Donaldson 80s)

$\exists X_0, X_1$ : smooth simply connected  
4mflds such that

$$X_0 \cong_{\text{homeo}} X_1 \quad X_0 \not\cong_{\text{diffeo}} X_1$$

$\Leftrightarrow$

## EXOTIC DIFFEOS

Thm: (Ruberman '98)

$\exists X$  smooth simply connected 4mflds  
and  $\varphi: X \rightarrow X$  diffeos such that

$$\varphi \cong_{\text{top}} \text{id} \quad \varphi \not\cong_{\text{sm}} \text{id}$$



# MOTIVATION

## EXOTIC MFLDS

Thm: (Freedman, Donaldson 80s)

$\exists X_0, X_1$ : smooth simply connected  
4mflds such that

$$X_0 \cong_{\text{homeo}} X_1 \quad X_0 \not\cong_{\text{diffeo}} X_1$$

$\Leftarrow$

## EXOTIC DIFFEOS

Thm: (Ruberman '98)

$\exists X$  smooth simply connected 4mflds  
and  $\varphi: X \rightarrow X$  diffeos such that

$$\varphi \cong_{\text{top}} \text{id} \quad \varphi \not\cong_{\text{sm}} \text{id}$$

Thm: (Matveyev; Curtis, Freedman, Hsiang, Stong) <sup>'95</sup>

$X_0, X_1$  smooth, closed, simply connected

4mflds which are homeomorphic

$\exists$  compact contractible 4mfld  $C_i \subset X_i$

Such that  $X_0 \setminus C_0 \cong_{\text{diffeo}} X_1 \setminus C_1$

# MOTIVATION

## EXOTIC MFLDS

Thm: (Freedman, Donaldson 80s)

$\exists X_0, X_1$ : smooth simply connected 4mflds such that

$$X_0 \cong_{\text{homeo}} X_1 \quad X_0 \not\cong_{\text{diffeo}} X_1$$



## EXOTIC DIFFEOS

Thm: (Ruberman '98)

$\exists X$  smooth simply connected 4mflds and  $\varphi: X \rightarrow X$  diffeos such that

$$\varphi \cong_{\text{top}} \text{id} \quad \varphi \not\cong_{\text{sm}} \text{id}$$

Thm: (Matveyev; Curtis, Freedman, Hsiang, Stong) <sup>~'95</sup>

$X_0, X_1$  smooth, closed, simply connected

4mflds which are homeomorphic

$\exists$  compact contractible 4mfld  $C_i \subset X_i$

Such that  $X_0 \setminus C_0 \cong_{\text{diffeo}} X_1 \setminus C_1$



Q:

$X$  smooth closed simply connected 4mfld and  $\varphi: X \rightarrow X$  diffeo which is top iso to id.

?  $\exists$  compact, contractible 4mfld  $C \subset X$

Such that  $\varphi|_{X \setminus C} \cong_{\text{sm}} \text{id}|_{X \setminus C}$

# MOTIVATION

## EXOTIC MFLDS

Thm: (Freedman, Donaldson 80s)

$\exists X_0, X_1$ : smooth simply connected 4mflds such that

$$X_0 \cong_{\text{homeo}} X_1 \quad X_0 \not\cong_{\text{diffeo}} X_1$$



## EXOTIC DIFFEOS

Thm: (Ruberman '98)

$\exists X$  smooth simply connected 4mflds and  $\varphi: X \rightarrow X$  diffeos such that

$$\varphi \cong_{\text{top}} \text{id} \quad \varphi \not\cong_{\text{sm}} \text{id}$$

Thm: (Matveyev; Curtis, Freedman, Hsiang, Stong) <sup>~'95</sup>

$X_0, X_1$  smooth, closed, simply connected

4mflds which are homeomorphic

$\exists$  compact contractible 4mfld  $C_i \subset X_i$

Such that  $X_0 \setminus C_0 \cong_{\text{diffeo}} X_1 \setminus C_1$



Q:

$X$  smooth closed simply connected 4mfld and  $\varphi: X \rightarrow X$  diffeo which is top iso to id.

?  $\exists$  compact, contractible 4mfld  $C \subset X$

Such that  $\varphi|_{X \setminus C} \cong_{\text{sm}} \text{id}|_{X \setminus C}$

A: [FMPW]

sometimes

PSEUDOISOTOPY  
^  
(Smooth)

# PSEUDOISOTOPY

^  
(Smooth)

## h-cobordism

$X_0, X_1$  simply connected smooth  
4 mflds

[Wall, Freedman]

$$X_0 \underset{\text{homeo}}{\cong} X_1 \iff X_0 \underset{\text{h-cob}}{\sim} X_1$$

# PSEUDOISOTOPY

^  
(Smooth)

## h-cobordism

$X_0, X_1$  simply connected smooth  
4 mflds

[Wall, Freedman]

$$X_0 \cong_{\text{homeo}} X_1 \iff X_0 \sim_{\text{h-cob}} X_1$$



## Pseudoisotopy

$X$  smooth simply connected 4 mfld  
 $\varphi: X \rightarrow X$  diffeo

[Kreck, Perron, Quinn]

$$\varphi \cong_{\text{top}} \text{id} \iff \varphi \sim_{\text{P.I.}} \text{id}$$

# PSEUDOISOTOPY

^  
(Smooth)

## h-cobordism

$X_0, X_1$  simply connected smooth  
4 mflds



[Wall, Freedman]

$$X_0 \cong_{\text{homeo}} X_1 \iff X_0 \sim_{\text{h-cob}} X_1$$

## Pseudoisotopy

$X$  smooth simply connected 4 mfld

$\varphi: X \rightarrow X$  diffeo

correction:  
Gabai, Gay,  
Hartman,  
Krushkal,  
Powell

[Kreck, Perron, Quinn]

$$\varphi \cong_{\text{top}} \text{id} \iff \varphi \sim_{\text{P.I.}} \text{id}$$

# PSEUDOISOTOPY

^  
(Smooth)

## h-cobordism

$X_0, X_1$  simply connected smooth  
4 mflds



[Wall, Freedman]

$$X_0 \cong_{\text{homeo}} X_1 \iff X_0 \sim_{\text{h-cob}} X_1$$

## Pseudoisotopy

$X$  smooth simply connected 4 mfld  
 $\varphi: X \rightarrow X$  diffeo

[Kreck, Perron, Quinn]

correction:  
Gabai, Gay,  
Hartman,  
Krushkal,  
Powell

$$\varphi \cong_{\text{top}} \text{id} \iff \varphi \sim_{\text{P.I.}} \text{id}$$

$\exists$  corks for mflds proved using  
h-cobordisms



# PSEUDOISOTOPY

^  
(Smooth)

## h-cobordism

$X_0, X_1$  simply connected smooth  
4 mflds



[Wall, Freedman]

$$X_0 \cong_{\text{homeo}} X_1 \iff X_0 \sim_{\text{h-cob}} X_1$$

$\exists$  corks for mflds proved using  
h-cobordisms

## Pseudoisotopy

$X$  smooth simply connected 4 mfld  
 $\varphi: X \rightarrow X$  diffeo

correction:  
Gabai, Gay,  
Hartman,  
Krushkal,  
Powell

[Kreck, Perron, Quinn]

$$\varphi \cong_{\text{top}} \text{id} \iff \varphi \sim_{\text{P.I.}} \text{id}$$

IDEA: use pseudoisotopies to  
prove  $\exists$  corks for diffeos

# PSEUDOISOTOPY

DEF:

A diffeo  $\varphi: X \rightarrow X$  is smoothly pseudoisotopic to the id if  
 $\exists$  diffeo  $\Phi: X \times I \rightarrow X \times I$  such that

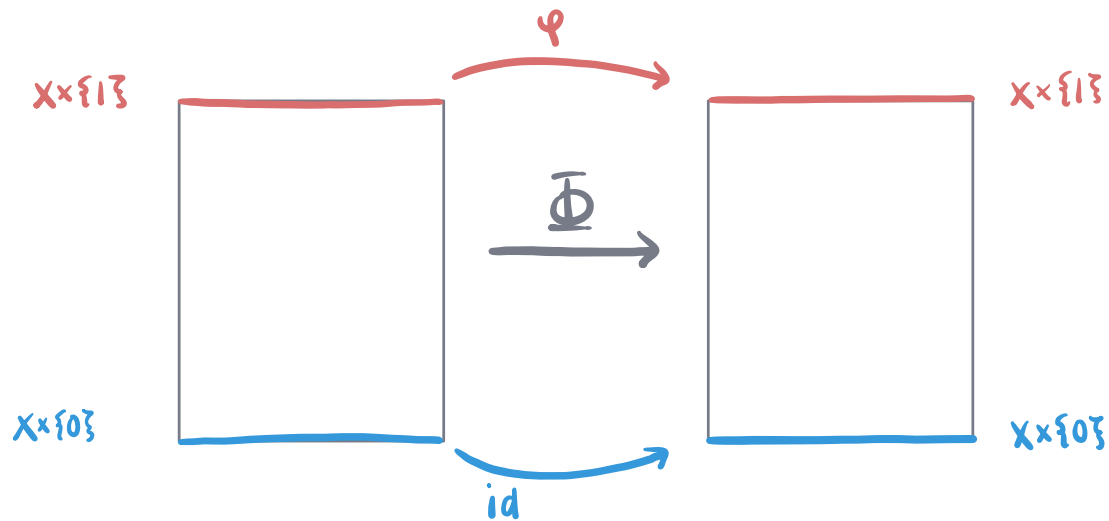
$$\Phi|_{\partial X \times I} = \text{id}, \quad \Phi|_{X \times \{0\}} = \text{id}, \quad \text{and} \quad \Phi|_{X \times \{1\}} = \varphi$$

# PSEUDOISOTOPY

DEF:

A diffeo  $\varphi: X \rightarrow X$  is smoothly pseudoisotopic to the id if  
 $\exists$  diffeo  $\Phi: X \times I \rightarrow X \times I$  such that

$$\Phi|_{\partial X \times I} = \text{id}, \quad \Phi|_{X \times \{0\}} = \text{id}, \quad \text{and} \quad \Phi|_{X \times \{1\}} = \varphi$$

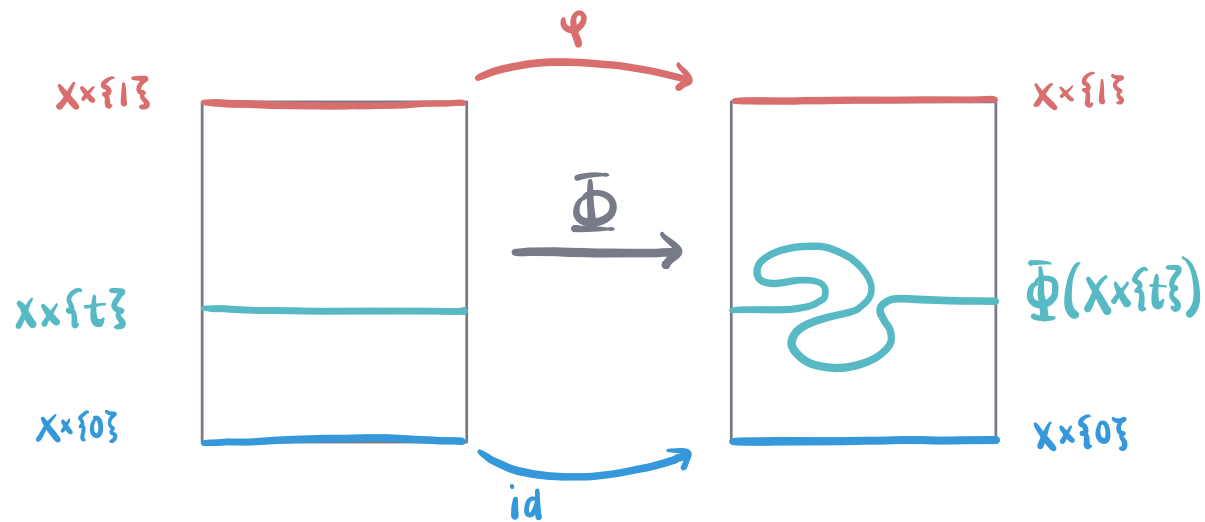


# PSEUDOISOTOPY

DEF:

A diffeo  $\varphi: X \rightarrow X$  is smoothly pseudoisotopic to the id if  
 $\exists$  diffeo  $\Phi: X \times I \rightarrow X \times I$  such that

$$\Phi|_{\partial X \times I} = \text{id}, \quad \Phi|_{X \times \{0\}} = \text{id}, \quad \text{and} \quad \Phi|_{X \times \{1\}} = \varphi$$

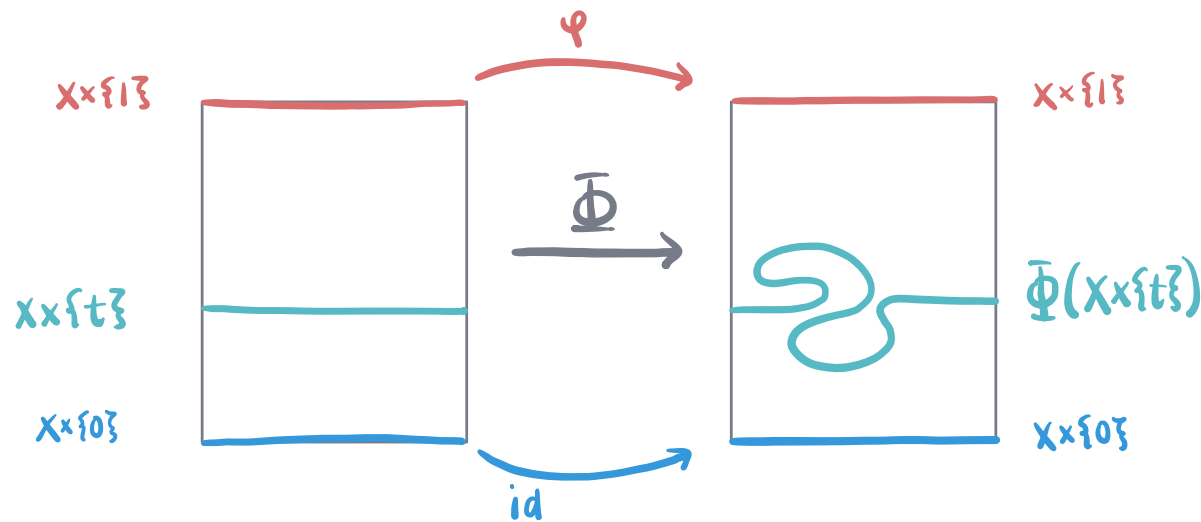


# PSEUDOISOTOPY

DEF:

A diffeo  $\varphi: X \rightarrow X$  is smoothly pseudoisotopic to the id if  
 $\exists$  diffeo  $\Phi: X \times I \rightarrow X \times I$  such that

$$\Phi|_{\partial X \times I} = \text{id}, \quad \Phi|_{X \times \{0\}} = \text{id}, \quad \text{and} \quad \Phi|_{X \times \{1\}} = \varphi$$



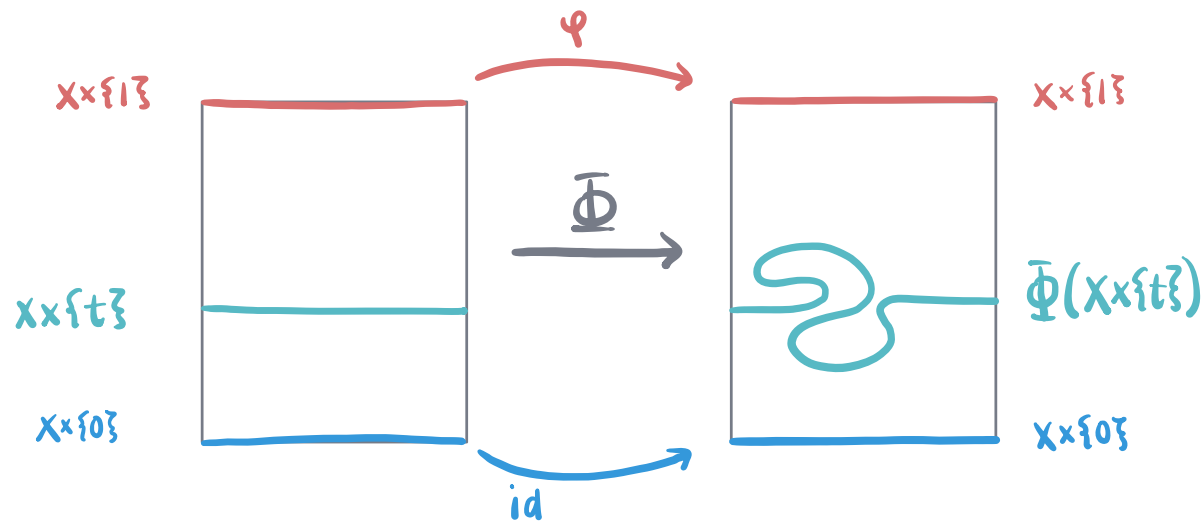
may not be contained  
in  $X \times \{t\}$  i.e. not  
level preserving

# PSEUDOISOTOPY

DEF:

A diffeo  $\varphi: X \rightarrow X$  is smoothly pseudoisotopic to the id if  
 $\exists$  diffeo  $\Phi: X \times I \rightarrow X \times I$  such that

$$\Phi|_{\partial X \times I} = \text{id}, \quad \Phi|_{X \times \{0\}} = \text{id}, \quad \text{and} \quad \Phi|_{X \times \{1\}} = \varphi$$



may not be contained  
in  $X \times \{t\}$  i.e. not  
level preserving

Rmk: if  $\Phi$  is level preserving then  $\Phi$  is a smooth isotopy

$$\Phi|_{X \times \{t\}} = \varphi_t : X \rightarrow X$$

# SOME RESULTS

## SOME RESULTS

$X$ : smooth compact simply connected 4 mfld

$\varphi$ : diffeomorphism of  $X$

$\Phi$ : pseudoisotopy between  $\varphi$  and id



## SOME RESULTS

$X$ : smooth compact simply connected 4 mfld

$\varphi$ : diffeomorphism of  $X$

$\Phi$ : pseudoisotopy between  $\varphi$  and  $\text{id}$

**Thm:** [Krushkal, Mukherjee, Powell, W.]

If  $\Phi$  has "one eye", then  $\exists$  compact contractible  $C \times I \subset X \times I$   
and a smooth isotopy of  $\Phi \simeq \Phi'$  such that

$$\Phi' \Big|_{X \times I \setminus (\overset{\circ}{C} \times I)} = \text{id} \Big|_{X \times I \setminus (\overset{\circ}{C} \times I)}$$

## SOME RESULTS

$X$ : smooth compact simply connected 4 mfd

$\varphi$ : diffeomorphism of  $X$

$\Phi$ : pseudoisotopy between  $\varphi$  and  $\text{id}$

**Thm:** [Krushkal, Mukherjee, Powell, W.]

If  $\Phi$  has "one eye", then  $\exists$  compact contractible  $C \times I \subset X \times I$   
and a smooth isotopy of  $\Phi \simeq \Phi'$  such that

$$\Phi' \Big|_{X \times I \setminus (C \times I)} = \text{id} \Big|_{X \times I \setminus (C \times I)}$$

**Cor:**

If  $\varphi$  is a diffeo that becomes smoothly isotopic to the identity after a single stabilization then  $\exists$  compact, contractible  $C \subset X$  and a smooth isotopy  $\varphi \simeq \varphi'$  s.t.

$$\varphi' \Big|_{X \setminus C} = \text{id} \Big|_{X \setminus C}$$

THANKS FOR  
LISTENING!