

Floer homology and fractional Dehn twists

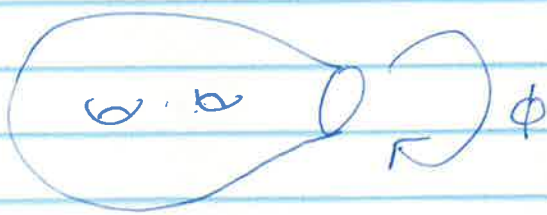
joint work: M. Hedden

fractional Dehn twist coefficients

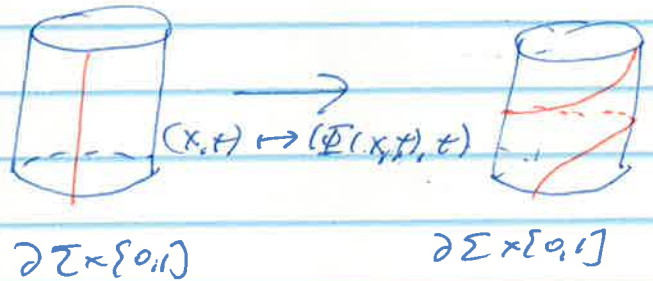
$\Sigma$  surface with  $\partial\Sigma = S^1$

$\phi: \Sigma \rightarrow \Sigma$  diffeo  $\phi|_{\partial\Sigma} = \psi$

$\exists$  isotopy of  $\phi$  (not fixing  $\partial$ ) to a Nielsen-Thurston representative  $\phi_{NT}$



say  $\mathbb{I} =$  isotopy  
restrict to  $\partial\Sigma$   
and consider



$\tau(\phi) =$  total rotation of image of  
 $pt \times [0, 1]$

Properties:

- $\tau(\phi)$  depends only on isotopy class of  $\phi$  rel  $\partial\Sigma$

- $\tau(\phi) \in \mathbb{Q}$  satisfies

$$\tau(\phi^n) = n \tau(\phi)$$

$$\tau(\phi \circ \tau_{\frac{1}{2}}) = \tau(\phi) + 1$$

↑ right Dehn twist  
around  $\partial$  parallel curve

•  $\tau(\phi)$  invariant under conjugation

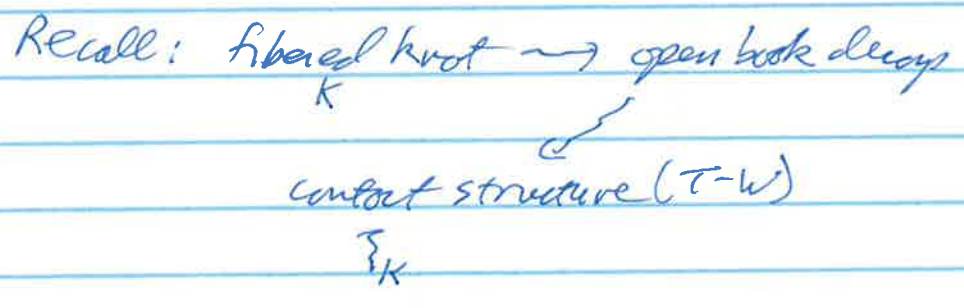
Ex: if  $K \subset Y$  a fibered knot  
 $\rightarrow$  fibration described by  
monodromy  $\Sigma \mathbb{R}_{\phi_K}$  fixing  $\partial \Sigma$   
 $\rightarrow$  twist coeff  $\tau(K) = \tau(\phi_K)$

Remark:  $\exists \phi$  with arbitrarily large  $\tau(\phi)$   
(pos. or neg.)

Th<sup>m</sup> (Healden-M): fix closed 3-mfd  $Y$   
then there  $\exists$  integer  $N_Y \geq 0$   
with the property: for any fibered  
knot  $K \subset Y$ , the twist coeff  $\tau(K)$   
satisfies  
 $|\tau(K)| \leq N_Y + 1$

Remark: Previously known for  $Y = S^3$  by  
Gabai and Kazuo-Roberts  
also for L-spaces by Ozsvath-Szabo

Strategy: exploit connection with contact topology



Moreover any contact structure is supported by an open book like this

Th<sup>m</sup> (Honda-Kazez-Matic)

if  $\mathcal{K}$  is tight then  $\tau(K) \geq 0$

so main result follows from

Th<sup>m</sup>: for  $Y$  there is an integer  $N_Y \geq 0$  such that given any contact str  $\xi$  supported by an open book  $(\Sigma, \phi)$   $\partial\Sigma = S^1$ , then for any  $n \geq N_Y$  the contact str determined by  $(\Sigma, \phi \circ t_\phi^n)$  is tight

Indeed! in this case  $0 \leq \tau(\phi \circ t_\phi^n) = \tau(\phi) + n$   
for upper bound replace  $\phi$  by  $\phi^{-1}$  (reverse or  $\pm$  on  $Y$ )

Remark: Replace  $\phi$  by  $\phi \circ t_\phi^n$  corresponds to  $Y \rightsquigarrow Y(K)$   
 $\downarrow^{-1/n}$   
(surgery on  $K$ )

How to detect tightness use HF

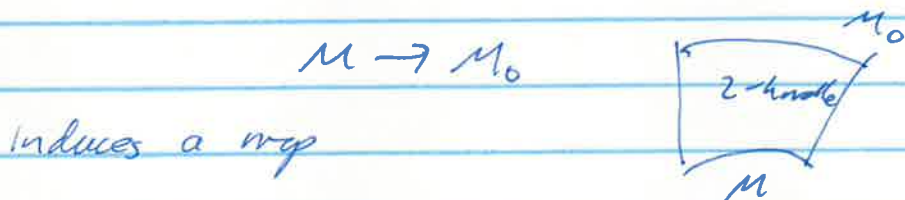
Ozsváth - Szabó: contact str  $\mathcal{T}$  on  $Y$   
 $\leadsto$  invariant  $c(\mathcal{T}) \in HF^+(-Y)$

Thm (05) if  $c(\mathcal{T}) \neq 0$  then  $\mathcal{T}$  is tight

Define:  $c(\mathcal{T})$  O.S.  $\Rightarrow$  if  $M_0$  is a fibered 3-mfd then  $\exists$  canonical spin<sup>c</sup> str  $t$  st.

$$HF^+(M_0, t) = HF \xrightarrow{\mathbb{Z}/2\mathbb{Z}}$$

If  $K \subset M$  is a fibered knot then  $\exists$  cobord.



$$F: HF^+(-M_0, t) \rightarrow HF^+(-M)$$

image of generator is  $c(\mathcal{T}_K)$

Surgery exact sequence:

$$\begin{array}{ccc} HF^+(-Y_0; \mathbb{F}[C_n]) & \xrightarrow{F} & HF^+(-Y \cup \mathbb{R}^2/n\mathbb{Z}) \\ \uparrow G & & \downarrow \\ & & HF^+(-Y) \end{array}$$

$\mathbb{F}[C_n] =$  gp ring of  $C_n = \mathbb{Z}/n\mathbb{Z}$

Theorem follows from:

- $HF^+(-Y_0, +; \mathbb{F}[C_n]) \cong \mathbb{F}[C_n]$

↑  
has dim  $n$

- if  $F$  is nontrivial (restricting to  $t$ )

then  $C(C_n) \neq 0$

↑ of str on  $Y_{-Y_0}(K)$

- Maps  $F, G$  are  $U$  equivariant  
action on  $-Y_0$  is trivial

→  $C$  factors through  $\text{coker } U$   
which is finite dimensional

⇒ choose  $n > \dim \text{coker } U$

so  $F$  nontrivial

Refinement using gradings:

Plane fields on 3-manifolds classified up to

homotopy by 2 pieces of data:

- $\text{Spin}^c$  structure  $S_3$

- 3-dimensional unit

if  $C_1(S_3)$  is torsion

this corresponds to

Hopf invariant  $h(S) \in \mathbb{Q}$

Fact: if  $C_1(S)$  is torsion then  $HF^+$  graded

Th<sup>m</sup> (Healden-M) let  $\mathcal{J}$  be a contact str. on  $Y$   
with  $c_1(\mathcal{J})$  torsion, and let  $(\Sigma, \phi)$   
be a supporting open book with  
connected  $\partial\Sigma$ . Then  $\tau(\phi)$  satisfies

$$-1 - \dim_{\mathbb{F}} \text{HF}^{\text{red}}(-Y, \xi_{\mathcal{J}}) \leq \tau(\phi) \\ \leq \dim_{\mathbb{F}} \text{HF}^{\text{red}}(-Y, \xi_{\mathcal{J}}) + 1$$

most of the time  $\tau \in [-1, 1]$   
(reduced homology  
usually 0)

Cor: take  $\mathcal{J}$ , with  $c_1(\mathcal{J})$  torsion  
then for all sft large  $g$  then  
adding a single right handed twist  
to boundary of supp. open book of  
genus  $g$  gives a tight contact str.

Cor: Fix  $Y$  then  $\exists r_Y \in \mathbb{Q}$  with following  
property, let  $\mathcal{J}$  be a contact str  
with  $c_1(\mathcal{J})$  torsion and  $h(\mathcal{J}) \leq r_Y$   
then  $\mathcal{J}$  becomes tight after adding  
1 twist to any open book  $\forall$  connected  
boundary