

# Ordered groups and $n$ -dimensional dynamics

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$\text{PL}(X, Y)$  = all piecewise-linear homeomorphisms.

$\text{Diff}^i(X, Y)$  = all which are smooth of class  $i = 1, 2, \dots, \infty$ .

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We can argue that  $\text{Homeo}(I, \partial I)$  is **torsion-free**, that is it has no elements of finite order, as follows:

If  $f$  is not the identity, then there is  $x \in I$  such that either  $x < f(x)$  or  $x > f(x)$ . In the first case, we conclude that  $f(x) < f^2(x)$ ,  $f^2(x) < f^3(x)$ , etc. So by transitivity,  $x < f^n(x)$  for all powers  $n$ . Similarly, in the second case, we see that  $f^n(x) \neq x$  for all  $n$ .

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The case  $n = 1$  was just proven. The case  $n = 2$  was proved by Kerékjártó exactly a century ago.

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*Suppose  $X$  is a compact space, and  $f : X \rightarrow X$  satisfies  $f^p = 1$ , for  $p$  prime. Then using  $\mathbb{Z}/p\mathbb{Z}$  coefficients, if  $X$  has trivial homology groups, the same is true of the set of fixed points of  $f$ .*

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Now  $\partial I^n$  represents a nontrivial  $(n-1)$ -cycle in  $\text{Fix}(f)$ . If  $\text{Fix}(f)$  is not all of  $I^n$ , this cycle cannot bound in  $\text{Fix}(f)$ , a contradiction. Therefore  $\text{Fix}(f) = I^n$ ; in other words  $f$  is the identity. □

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In fact, there is a much stronger theorem for PL or smooth homeomorphisms. So we next consider souped-up versions of being torsion-free.



# Orderable groups

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Left-orderable groups are easily seen to be torsion-free, for

$1 < f \implies f < f^2 \implies \dots$  so  $1 < f^n$  for all  $n$ , and similarly if  $f < 1$  then all powers of  $f$  are less than 1.

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But there are many torsion-free groups which are not left-orderable.

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## Theorem (Burns - Hale)

*Locally indicable implies left-orderable.*

## Theorem (Hölder)

*Bi-orderable implies locally indicable.*



# Orderable groups

If  $(G, <)$ , is a left-ordered group, define the **positive cone** to be

$$P := \{g \in G \mid g > 1\}.$$

Then (1)  $P$  is closed under multiplication and (2) for every  $g \in G$  exactly one of  $g \in P, g^{-1} \in P$  or  $g = 1$  holds.

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Conversely, if a group  $G$  has a subset  $P$  satisfying (1) and (2), then the formula  $g < h \iff g^{-1}h \in P$  defines a left-ordering of  $G$ .

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A group  $G$  is bi-orderable iff it has a subset  $P$  satisfying (1) and (2) and also (3)  $gPg^{-1} \subset P$  for all  $g \in G$ .

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That is, if

$$1 \rightarrow K \rightarrow G \rightarrow H \rightarrow 1$$

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Bi-orderability is also preserved under taking subgroups, but **not** necessarily under extensions.

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Then  $y$  generates a normal subgroup which is infinite cyclic, and the quotient of  $G$  by this subgroup is also infinite cyclic. That is, there is an exact sequence

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$$1 \rightarrow \mathbb{Z} \rightarrow G \rightarrow \mathbb{Z} \rightarrow 1.$$

While  $\mathbb{Z}$  is bi-orderable, the same cannot be said of  $G$ . If it were, then if  $y$  were greater than the identity, the relation would imply  $y^{-1}$  is also greater than the identity, a contradiction. Similarly  $y < 1$  would also lead to a contradiction.

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On the other hand, this group  $G$  is locally indicable and (hence) left-orderable and torsion-free.

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Fundamental groups of 3-manifolds which have positive first Betti number are left-orderable. As a special case, knot groups are left-orderable.

# Orderable groups

Here are a few important properties of orderable groups.

## Theorem

*If  $G$  is left-orderable, then the group ring  $\mathbb{Z}G$  has no zero divisors.*

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This is conjectured to be true for torsion-free groups.

## Theorem

*If  $G$  is bi-orderable, and  $g^m$  and  $h^n$  commute, then so do  $g$  and  $h$ .*

*Moreover, roots are unique: that is, if  $g^n = h^n$  for some  $n \neq 0$ , then  $g = h$ .*

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To see this, consider a countable dense subset  $r_1, r_2, \dots$  of the interval  $I = [0, 1]$  and compare two different functions  $f, g \in \text{Homeo}(I, \partial I)$  by declaring that

$$f \prec g \iff f(r_i) < g(r_i)$$

at the **first**  $i$  for which the values  $f(r_i)$  and  $g(r_i)$  differ.

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## Theorem

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*If  $G$  is left-orderable and countable, then  $G$  embeds in  $\text{Homeo}(I, \partial I)$ .*

## Corollary

*$\text{Homeo}(I, \partial I)$  is NOT bi-orderable or locally indicable.*

This follows because there are many countable LO groups which are not bi-orderable or locally indicable.

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One can compare two PL functions  $f, g \in PL(I, \partial I)$  by examining the "first" point  $x_0$  (reading from the left of the interval) at which their graphs begin to differ, and declare  $f \prec g$  if and only if the graph of  $g$  is above that of  $f$  just beyond that point. This is a bi-order, as this property is preserved under conjugation.

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## Theorem (W. Thurston)

$Diff^1(I, \partial I)$  is locally indicable.

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## Theorem (Calegari - R)

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More generally,

## Theorem (Calegari - R)

*If  $M$  is a connected PL  $n$ -manifold and  $B \subset M$  a proper PL submanifold of codimension 0 or 1, then  $PL(M, B)$  is locally indicable.*



# Proof that $PL(I^n, \partial I^n)$ is locally indicable

## Lemma

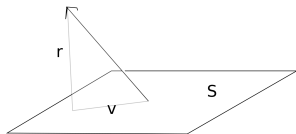
*Let  $G$  be the subgroup of  $GL(n, \mathbb{R})$  consisting of all linear maps which pointwise fix an  $n - 1$  dimensional subspace  $S$  of  $\mathbb{R}^n$  and preserve orientation. Then  $G$  is locally indicable.*

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Proof: With appropriate basis,  $G$  is the group of matrices  $\begin{pmatrix} Id & v \\ 0 & r \end{pmatrix}$



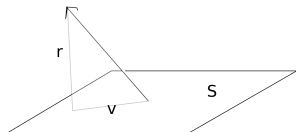
where  $Id$  is the  $(n - 1) \times (n - 1)$  identity matrix,  $v \in \mathbb{R}^{n-1}$  is an arbitrary (column) vector and  $r \in \mathbb{R}, r > 0$ .

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where  $Id$  is the  $(n - 1) \times (n - 1)$  identity matrix,  $v \in \mathbb{R}^{n-1}$  is an arbitrary (column) vector and  $r \in \mathbb{R}, r > 0$ . Determinant maps  $G$  to  $\mathbb{R}_+$  and we have an exact sequence  $1 \rightarrow \mathbb{R}^{n-1} \rightarrow G \rightarrow \mathbb{R}_+ \rightarrow 1$ .

## Proof that $PL(I^n, \partial I^n)$ is locally indicable

To see that  $PL(I^n, \partial I^n)$  is locally indicable, consider a finitely-generated nontrivial subgroup  $H = \langle h_1, \dots, h_k \rangle$ . The set  $Fix(H)$  of points fixed by all of  $H$  is the intersection of the  $Fix(h_i)$ , and therefore a polyhedron containing  $\partial I^n$ .

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Choose a point  $p$  which is in the interior of an  $(n-1)$ -dimensional face of  $Fix(H)$ , and let  $G$  be the group of germs of functions in  $H$  at  $p$ . These are linear, fix an  $(n-1)$ -dimensional hyperplane and preserve orientation.

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# $PL(I^n, \partial I^n)$ not biorderable in general

## Proposition

$PL(I^2, \partial I^2)$  is NOT bi-orderable.

To show this, we construct two functions  $f, g \in PL(I^2, \partial I^2)$  with the property that  $fgf^{-1} = g^{-1}$ . Such an equation cannot hold, for  $g \neq 1$ , in a bi-orderable group, as discussed earlier.

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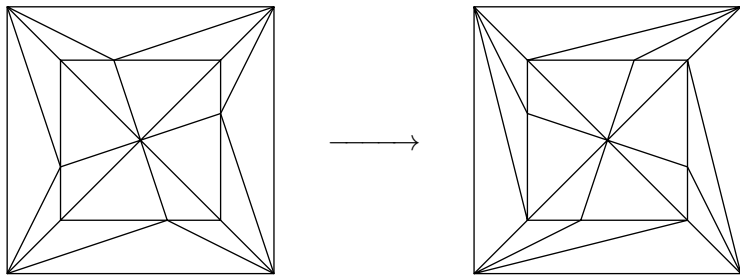
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We will take  $f$  to be a PL map fixed on the outer square  $\partial I^2$  and rotating an interior square of half the size by 180 degrees. For example, we can use  $f = h^6$ , where  $h$  is as follows.



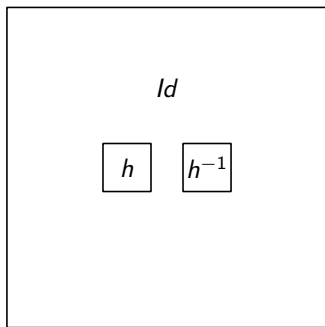
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A PL map  $h : I^2 \rightarrow I^2$ , with the property that  $f = h^6$  rotates the inner square by 180 degrees.

## $PL(I^n, \partial I^n)$ not biorderable in general

Define the function  $g : I^2 \rightarrow I^2$  to be the identity, except on two small squares as illustrated. On one square take  $g$  to be a suitably scaled version of  $h$ . On the other take  $g$  to be  $h^{-1}$ . Then  $fgf^{-1} = g^{-1}$   $\square$ .



# $PL(I^n, \partial I^n)$ not biorderable in general

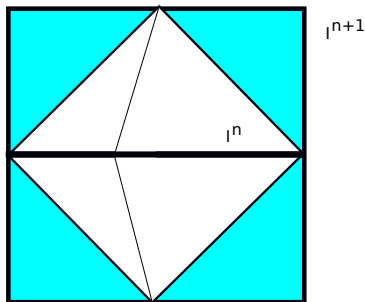
## Proposition

*There is an isomorphic embedding of groups  $\text{Homeo}(I^n, \partial I^n) \rightarrow \text{Homeo}(I^{n+1}, \partial I^{n+1})$  and  $PL(I^n, \partial I^n) \rightarrow PL(I^{n+1}, \partial I^{n+1})$ .*

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# Conclusion

Recall the implications, for a group:

Borderable  $\implies$  locally indicable  $\implies$  left-orderable  $\implies$  torsion-free.

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We saw that  $PL(I, \partial I)$  is bi-orderable,  $Diff^1(I, \partial I)$  is locally-indicable and  $Homeo(I, \partial I)$  is left-orderable.

In higher dimensions,  $PL(I^n, \partial I^n)$  is locally-indicable, but not bi-orderable.

A similar conclusion is true for  $Diff^1(D^n, S^{n-1})$ .

# Conclusion

Recall the implications, for a group:

Biorderable  $\implies$  locally indicable  $\implies$  left-orderable  $\implies$  torsion-free.

We saw that  $PL(I, \partial I)$  is bi-orderable,  $Diff^1(I, \partial I)$  is locally-indicable and  $Homeo(I, \partial I)$  is left-orderable.

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A similar conclusion is true for  $Diff^1(D^n, S^{n-1})$ .

It had long been an open question whether  $Homeo(I^2, \partial I^2)$  is left-orderable.



# Conclusion

Recently, James Hyde showed that these higher-dimensional results do not hold for the topological category.

## Theorem (Hyde)

*The group  $\text{Homeo}(I^2, \partial I^2)$  is not left-orderable. Therefore the same is true for  $\text{Homeo}(I^n, \partial I^n)$  for all  $n \geq 2$ .*

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His proof is to construct a family of homeomorphisms of  $I^2$ , fixed on the boundary, which obey a certain relation in the group  $\text{Homeo}(I^2, \partial I^2)$ . Then he argues that such a relation cannot hold in a left-orderable group.

Thank you !