

## E. Free products and topology

a group  $G$  is called indecomposable if whenever  $G \cong G_1 * G_2$  we must have  $G_1$  or  $G_2$  be the trivial group

example: 1) finite groups are indecomposable  
(since nontrivial free products are infinite)

both follow from homework

2) abelian groups are indecomposable

(since nontrivial free products are non-abelian)

Can you always break a group into indecomposable pieces?

i.e. write  $G \cong G_1 * \dots * G_n$  where

$G_i$  are indecomposable?

to study this we define the rank of  $G$  to be

$$r(G) = \min \{ |X| : X \text{ a generating set for } G \}$$

↑ cardinality of  $X$

examples:

1)  $r(\oplus_n \mathbb{Z}) = n$

to see this note we clearly have

$$\rho(\oplus_n \mathbb{Z}) \leq n$$

but if  $k$  elements generate  $\oplus_n \mathbb{Z} \subseteq \mathbb{R}^n$

then  $k$  elements also span  $\mathbb{R}^n$  as a vector space, so  $k \leq n$

$$\text{i.e. } \rho(\oplus_n \mathbb{Z}) = n$$

2) If  $F_n$  is the free group generated by  $n$  elements then  $\rho(F_n) = n$

indeed, clearly  $\rho(F_n) \leq n$

note if  $H \triangleleft G$ , then  $\rho(G/H) \leq \rho(G)$

since if  $g_1, \dots, g_n$  generate  $G$ ,

then  $Hg_1, \dots, Hg_n$  generate  $G/H$

$$\text{now } \oplus_n \mathbb{Z} \cong \frac{F_n}{[F_n, F_n]} \quad \leftarrow \begin{array}{l} \text{commutator} \\ \text{subgroup} \end{array}$$

$$\text{so } \rho(F_n) \geq \rho(\oplus_n \mathbb{Z}) \geq n$$

$$\therefore \rho(F_n) = n$$

note:  $F_n \cong F_{n-k} * F_k$  for all  $0 \leq k \leq n$

$$\text{so } \rho(F_n) = \rho(F_{n-k}) + \rho(F_k)$$

we would like to generalize this to all groups!

for this we need

Theorem 7 (Grushko, 1940):

let  $F$  be a finitely generated free group and

$$\phi: F \rightarrow G_1 * G_2$$

be any surjective homomorphism

Then  $F = F_1 * F_2$  where  $\phi(F_i) = G_i$ ,  $i=1,2$

before we prove this we notice some corollaries

Cor 8:

$$\rho(G_1 * G_2) = \rho(G_1) + \rho(G_2)$$

Proof: clearly  $\rho(G_1 * G_2) \leq \rho(G_1) + \rho(G_2)$

(union of generating sets for  $G_1$  and  $G_2$   
will generate  $G_1 * G_2$ )

suppose  $\rho(G_1 * G_2) = n$

then there is a surjective homomorphism

$$\phi: F \rightarrow G_1 * G_2$$

where  $F$  is a free group of rank  $n$

by Theorem 7,  $F = F_1 * F_2$  where  $\phi(F_i) = G_i$ ,

Fact: a subgroup of a free group is free  
(we will prove this later)

so  $F_i$  is free and by the example above  
we know  $n = n_1 + n_2$  where  $p(F_i) = n_i$

$$\text{so } p(G_i) \leq n_i \quad i=1,2$$

$$\text{and } p(G_1 * G_2) = n = n_1 + n_2 \geq p(G_1) + p(G_2)$$

Cor 9:

If  $G$  is finitely generated, then

$$G \cong \bigast_{i=1}^n G_i$$

where  $G_i$  is indecomposable

Proof: if  $G$  is indecomposable, then done ( $n=1$ )

if not  $G = G_1 * G_2$  with  $G_1, G_2 \neq \{1\}$

by Corollary 8  $p(G_i) < p(G) \quad i=1,2$

$\therefore$  done by induction on  $p(G)$

(since clearly  $p(G)=1 \Rightarrow G$  cyclic

$\therefore$  indecomposable)

Remark: Cor 9 is not true for non-finitely generated groups

Question: Is there a group  $G$  that has a normal subgroup  $H$  such that  $G/H \cong G$ ?

(can a quotient group be isomorphic to the original group?)

a group  $G$  is called Hopfian if:

$\phi: G \rightarrow G$  a surjective homomorphism  
 $\Rightarrow \phi$  an isomorphism

Remark:  $G$  Hopfian  $\Leftrightarrow$  answer to question is No for  $G$

examples:

1) any finite group is Hopfian

2)  $S^1$  is not Hopfian ↙ unit circle in  $\mathbb{C}$

e.g. let  $\phi: S^1 \rightarrow S^1: z \mapsto z^n$

clearly  $\phi$  is surjective

but  $\ker \phi = \left\{ e^{\frac{2\pi k i}{n}} \right\}_{k=0}^{n-1}$

3)  $(\mathbb{R}, +)$  is not Hopfian

note:  $\mathbb{R}$  is a  $\mathbb{Q}$ -vector space and has an infinite basis  
project out one factor to get

a surjective map

Are there infinite Hopfian groups?

Cor 10:

a finitely generated free group is Hopfian

Proof:

let  $\phi: F \rightarrow F$  be a surjective homomorphism  
with  $F$  a free group

proof is by induction on rank of  $F$ ,  $\rho(F)$

rank  $F=1$ :  $F \cong \mathbb{Z}$

any homomorphism is of the form

$$\phi: \mathbb{Z} \rightarrow \mathbb{Z}: k \mapsto nk \quad \text{for some } n$$

$\phi$  is surjective  $\Leftrightarrow n = \pm 1$

so  $\phi$  an isomorphism

rank  $\rho(F)=n > 1$ : write  $F = \mathbb{Z} * F'$

$$\text{so } \rho(F') = \rho(F) - 1 > 0$$

by Th<sup>m</sup> 7,  $F = F_1 * F_2$  and  $\phi(F_1) = \mathbb{Z}$

$$\phi(F_2) = F'$$

note:  $F_i$  are free groups

$$\rho(F_2) = n_i < \rho(F) \quad \text{and}$$

$$\rho(F_1) \geq \rho(\mathbb{Z}) = 1$$

$$\rho(F_2) \geq \rho(F') = n-1$$

$$\Rightarrow n_1 = 1 \text{ and } n_2 = n-1$$

$$\text{since Cor 8 } \Rightarrow n_1 + n_2 = n$$

$$\text{so } F_1 \cong \mathbb{Z} \text{ and } F_2 \cong F'$$

thus  $\phi|_{F_1}: F_1 \rightarrow \mathbb{Z}$  surjective  
 $\phi|_{F_2}: F_2 \rightarrow F'$  surjective }  $\Rightarrow \phi|_{F_1}, \phi|_{F_2}$  are isomorphisms

induction

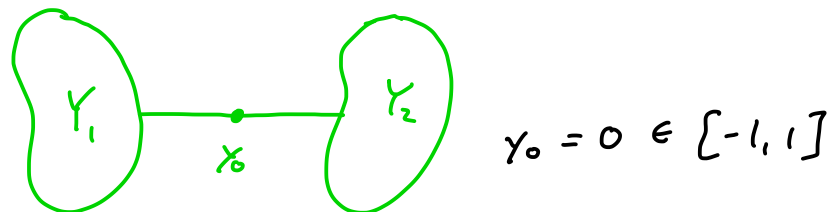
$\therefore \phi$  an isomorphism 

### Proof of Theorem 7:

by Theorem 4 we have connected 2-complexes

$Y_i$  such that  $\pi_1(Y_i) \cong G_i \quad i=1,2$

let  $Y = Y_1 \cup_h [-1,1] \cup_k Y_2$  where  $h: \{-1\} \rightarrow Y_1$   
 $k: \{1\} \rightarrow Y_2$



to apply Seifert-Van Kampen let

$$A = Y_1 \cup_h [-1, 1) \text{ and } B = (-1, 1] \cup_k Y_2$$

exercise:  $A \cong Y_1$  and  $B \cong Y_2$

$$\text{so } \pi_1(A, \gamma_0) \cong G_1 \text{ and } \pi_1(B, \gamma_0) \cong G_2$$

now  $A \cap B = (-1, 1) \cong \text{pt}$  so  $\pi_1(A \cap B, y_0) = \{e\}$

so Seifert-Van Kampen  $\Rightarrow \pi_1(Y, y_0) \cong G_1 * G_2$

let  $\mathcal{P} =$  set of pairs  $(X, f)$  such that

1)  $X$  connected 2-complex with  $\pi_1(X) = F$

2)  $f: X \rightarrow Y$  such that  $f_* = \phi$

3)  $f^{-1}(y_0)$  is a finite set of points ( $\subset X^{(0)}$ )

lemma 11:

$$\mathcal{P} \neq \emptyset$$

lemma 12:

$$\exists (X, f) \in \mathcal{P} \text{ with } f^{-1}(y_0) \text{ a single point}$$

given 12 we finish the proof of the theorem

let  $(X, f)$  be as in lemma 12 and let  $x_0 = f^{-1}(y_0)$

let  $\{X_\lambda\}$  be the components of  $X - \{x_0\}$

(note they are open in  $X$ )

set  $Y_1^+ = Y_1 \cup_h [-1, 0]$  and  $Y_2^+ = [0, 1] \cup_k Y_2$

note:  $Y_2^+$  deformation retracts to  $Y_2$ .  $z=1, 2$

$$\text{and } Y_1^+ \cap Y_2^+ = \{y_0\}$$

for each  $\lambda$ ,  $f(X_\lambda) \subset Y_1^+$  or  $Y_2^+$



let  $X_i = \left[ \cup \{X_\lambda : f(X_\lambda) \subset Y_i^+\} \right] \cup \{x_0\}$

note:  $X = X_1 \cup X_2$  and  $X_1 \cap X_2 = \{x_0\}$

by Seifert-Van Kampen

$$F \cong \pi_1(X, x_0) \cong \pi_1(X_1, x_0) * \pi_1(X_2, x_0)$$

$$\text{set } F_i = \pi_1(X_i, x_0)$$

(technically need  $X_1, X_2$  open to apply

Seifert-Van Kampen, can show  $x_0$

has open nbhd in  $X$  that is  $\simeq$  to  $x_0$

so should use  $X_1 \cup N$  and  $X_2 \cup N$ )

prove this!



note  $f(X_i) \subset Y_i^+$  so

$$f_* \left( \underbrace{\pi_1(X_i, x_0)}_{\substack{\text{SII} \\ F_i}} \right) \subset \underbrace{\pi_1(Y_i^+, y_0)}_{\substack{\text{SII} \\ G_i}}$$

$$\underbrace{\hspace{10em}}_{\phi(F_i)}$$

and  $\phi|_{F_i} : F_i \rightarrow G_i$  is onto since if not then

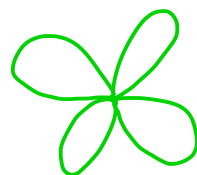
$$\phi : F \rightarrow G_1 * G_2$$

would not be onto



Proof of lemma 11:

let  $X =$  wedge of circles

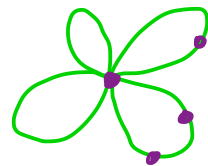



with  $\pi_1(X) = F$

by Theorem 5,  $\exists$  a continuous map  $f: X \rightarrow Y$   
such that  $f_* = \phi$

Fact: by a small homotopy can arrange  $f^{-1}(y_0)$   
a finite set of points, then add them  
to the 0-skeleton  $X^{(0)}$

(this is easy to prove  
with a little differential



topology. See Hatcher "Algebraic Topology" 

Proof of lemma 12:

let  $(X, f) \in \mathcal{P}$  we will modify  $(X, f)$  to get the  
desired pair

first note:  $f^{-1}(y_0) \neq \emptyset$

(since if it were empty, then  $f(X) \subset Y_1^+$  or  $Y_2^+$   
but then  $\phi(F) = f_*(\pi_1(X, x_0)) \subset \pi_1(Y_2^+, y_0) = G_2$   
 ~~$\phi$~~   $\phi$  onto, unless the other  $G_j = \{e\}$  in  
which case theorem is clearly true!)

suppose  $|f^{-1}(y_0)| > 1$

CW Fact: given a map between CW complexes

cellular  
approximation  
theorem

$f: X \rightarrow Y$ ,  $f$  may be homotoped so  
that  $f(X^{(2)}) \subset Y^{(2)}$  (2-skeleton

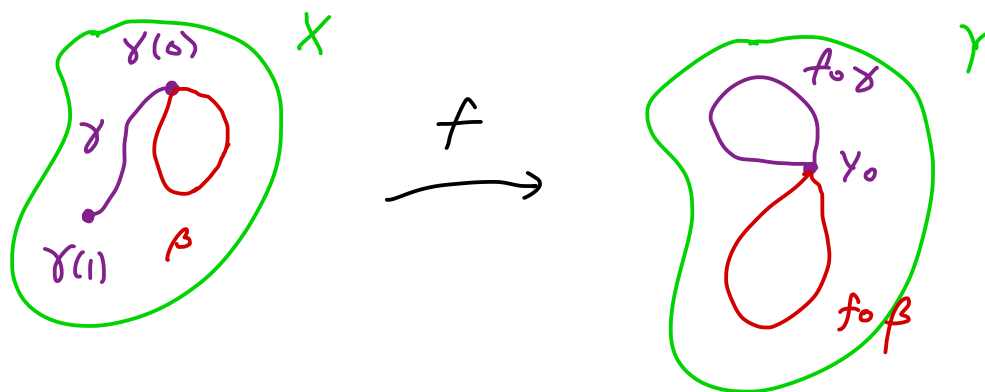
see Hatcher  
section 4.8

maps to the  $i$ -skeleton

let  $\gamma: [0,1] \rightarrow X$  be a path such that  $\gamma(0) \neq \gamma(1)$   
and  $\gamma(0), \gamma(1) \in f^{-1}(y_0)$

by CW Fact we can assume  $\text{im } \gamma \subset X^{(1)}$

note  $f \circ \gamma: [0,1] \rightarrow Y$  is a loop based at  $y_0$



since  $f_* = \phi$  is onto  $\exists \beta: [0,1] \rightarrow X^{(1)} \subset X$  a loop  
based at  $\gamma(0)$  such that  $f_*[\beta] = [f \circ \gamma]$

set  $\alpha = \bar{\beta} * \gamma$

$\alpha$  is a path in  $X^{(1)}$  from  $\gamma(0)$  to  $\gamma(1)$

$$\begin{aligned} \text{such that } [f \circ \alpha] &= [(f \circ \bar{\beta}) * (f \circ \gamma)] \\ &= (f_*([\beta]))^{-1} f_*([\gamma]) \\ &= e \text{ in } \pi_1(Y, y_0) \end{aligned}$$

let  $\alpha = \alpha_1 * \alpha_2 * \dots * \alpha_n$  be a composition of  
paths  $\alpha_i: [0,1] \rightarrow X^{(1)} \subset X$  such that

$$\alpha_i(\partial I) \subset f^{-1}(y_0) \text{ and}$$

$$f \circ \alpha_i(I) \subset Y_{j_i}^+ \quad j_i = 1 \text{ or } 2$$

$$\text{and } j_i \neq j_{i+1}$$

$$f \circ \alpha = (f \circ \alpha_1) * \dots * (f \circ \alpha_n)$$

↖ composition of loops in  $Y$

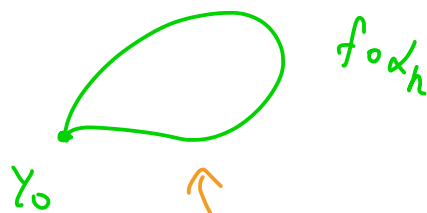
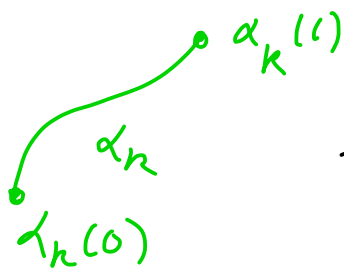
$$\text{so } e = [f \circ \alpha] = [f \circ \alpha_1] \cdot \dots \cdot [f \circ \alpha_n]$$

$$= g_1 g_2 \dots g_n \in G_1 * G_2$$

word in  $G_1 \cup G_2$

but not reduced!

so must have some  $k$  such that  $g_k = 1$



trivial loop in  $Y_i^+$  (say  $Y_1^+$ )

$$\alpha_k(0), \alpha_k(1) \in f^{-1}(y_0)$$

if  $\alpha_k(0) = \alpha_k(1)$ , then let  $\alpha' = \alpha_1 \dots \hat{\alpha}_k \dots \alpha_n$

exercise:  $f \circ \alpha' \simeq f \circ \alpha$

↖ this means remove

$$(\text{so } f \circ \alpha' \simeq e \text{ too})$$

note: we have reduced the length by one

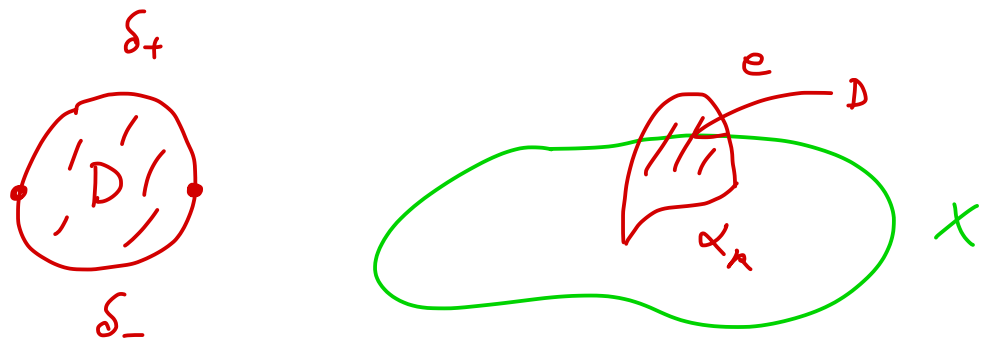
so can't keep doing this at some point

$$\alpha_k(0) \neq \alpha_k(1)$$

let  $X' = X \cup \underbrace{(1\text{-cell})}_e \cup \underbrace{(2\text{-cell})}_D$

glue  $e$  to  $X$  by  $\partial e = \{\alpha_k(0), \alpha_k(1)\}$

let  $a: \partial D \rightarrow X \cup e$  be given by  $\alpha_k$  on  $\delta_-$   
and homeo. to  $e$  on  $\delta_+$



note:  $D$  deformation retracts to  $\delta_-$

exercise: this implies  $X'$  deformation  
retracts to  $X$   
(so  $X' \simeq X$ )

now define  $f': X' \rightarrow X$

by  $f|_X = f$  and

$f|_e$  is the constant map  $\gamma_0$

note  $f' \circ a: \partial D \rightarrow Y$  is

homotopic to  $f \circ d_n \approx$  constant loop

$\therefore$  can extend  $f|_{\partial D}$  to  $D$   
(i.e. all of  $X'$ )

by the proof of lemma III.14  
(see Homework #5)

now  $X \overset{\sim}{=} X'$

$f \searrow \swarrow f'$   
 $\quad \quad \quad Y$  so  $f'_* = f_* = \phi$

note:  $f(D) \subset Y_1^+$

Claim: can assume  $y_0 \in f(\text{int } D)$

$\uparrow$  try to show this

so  $(f')^{-1}(y_0) = f''^{-1}(y_0) \cup e$

let  $X'' = X'/e$

we get an induced map  $f'': X'' \rightarrow Y$

exercise (challenging, we might do later):

the quotient map  $X' \xrightarrow{q} X''$  is a  
homotopy equivalence since  $e$  is

homotopic to a point

$$\text{so } (f'')_* = (f')_* = f_* = \phi$$

$$\text{and } |(f'')^{-1}(y_0)| = |f^{-1}(y_0)| - 1$$

so iterating we can eventually  
get to the point where the  
preimage of  $y_0$  is one point 