

## C. Curves in $\mathbb{R}^3$

let  $\vec{\alpha}: [0, l] \rightarrow \mathbb{R}^3$  be an arc length parameterization of some curve  $C$

Recall: 1)  $\|\vec{\alpha}'(s)\| = 1$

2)  $\vec{\alpha}''(s)$  is perpendicular to  $\vec{\alpha}'(s)$  (lemma 2)

3) we set 
$$\vec{N}(s) = \frac{\vec{\alpha}''(s)}{\|\vec{\alpha}''(s)\|} \quad (\text{if } \|\vec{\alpha}''(s)\| \neq 0)$$

and called it the normal vector

4)  $\kappa(s) = \|\vec{\alpha}''(s)\|$  is the curvature of  $C$  and measures how far  $C$  is from being a line segment

5)  $\vec{T}'(s) = \vec{\alpha}'(s)$  and  $\vec{N}(s)$  span a plane we call this plane the osculating plane this plane is the plane  $C$  comes closest to lying in

6) given two vectors 
$$\vec{v} = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} \quad \text{and} \quad \vec{w} = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix}$$

their cross product is

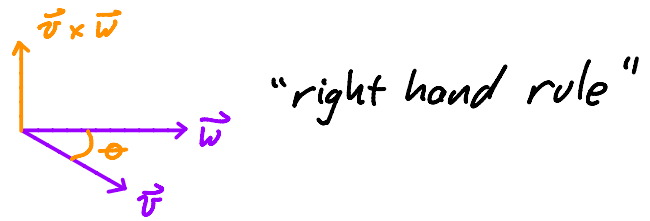
$$\vec{v} \times \vec{w} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ v_1 & v_2 & v_3 \\ w_1 & w_2 & w_3 \end{vmatrix} = (v_2 w_3 - v_3 w_2) \vec{i} - (v_1 w_3 - v_3 w_1) \vec{j} + (v_1 w_2 - v_2 w_1) \vec{k}$$

$$\text{where } \vec{i} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \quad \vec{j} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \quad \vec{k} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

so

$$\vec{v} \times \vec{w} = \begin{bmatrix} v_2 w_3 - v_3 w_2 \\ v_3 w_1 - v_1 w_3 \\ v_1 w_2 - v_2 w_1 \end{bmatrix}$$

and  $\vec{v} \times \vec{w}$  is perpendicular to  $\vec{v}$  and  $\vec{w}$



and  $\|\vec{v} \times \vec{w}\| = \|\vec{v}\| \|\vec{w}\| \sin \theta$

now define  $\vec{B}(s) = \vec{T}(s) \times \vec{N}(s)$

this is the binormal vector to  $C$

note:  $\|\vec{B}(s)\| = \|\vec{T}(s)\| \|\vec{N}(s)\| \sin \frac{\pi}{2} = 1$

so  $\vec{B}(s)$  is a unit vector perpendicular to  $\vec{T}(s)$  and  $\vec{N}(s)$

We define the torsion of  $C$  at  $\vec{r}(s_0)$  to be

$$\tau(s) = -\vec{B}'(s) \cdot \vec{N}(s)$$

examples:

1)  $\vec{r}(s) = (\cos s, \sin s, 0)$

$\vec{T}(s) = \vec{r}'(s) = (-\sin s, \cos s, 0)$

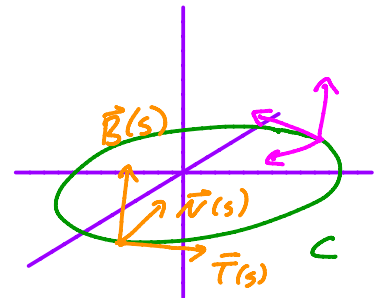
$\vec{r}''(s) = (-\cos s, -\sin s, 0)$

$\vec{N}(s) = \frac{\vec{r}''(s)}{\|\vec{r}''(s)\|} = (-\cos s, -\sin s, 0)$

so  $\vec{B}(s) = \vec{T}(s) \times \vec{N}(s) = \begin{bmatrix} \vec{i} & \vec{j} & \vec{k} \\ -\sin s & \cos s & 0 \\ -\cos s & -\sin s & 0 \end{bmatrix}$

$= 0\vec{i} - 0\vec{j} + (\sin^2 s + \cos^2 s)\vec{k} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$

and  $\vec{B}'(s) = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$  so  $\tau(s) = 0$



2) Earlier we computed the arc length parameterization of a helix as

$$\vec{r}(s) = \left( r \cos \frac{s}{\sqrt{r^2+b^2}}, r \sin \frac{s}{\sqrt{r^2+b^2}}, \frac{bs}{\sqrt{r^2+b^2}} \right)$$

$$\vec{T}(s) = \left( \frac{-r}{\sqrt{r^2+b^2}} \sin \frac{s}{\sqrt{r^2+b^2}}, \frac{r}{\sqrt{r^2+b^2}} \cos \frac{s}{\sqrt{r^2+b^2}}, \frac{b}{\sqrt{r^2+b^2}} \right)$$

$$\vec{T}'(s) = \frac{r}{r^2+b^2} \left( -\cos \frac{s}{\sqrt{r^2+b^2}}, -\sin \frac{s}{\sqrt{r^2+b^2}}, 0 \right)$$

$$\text{so } \kappa(s) = \frac{|r|}{r^2+b^2} \quad \text{constant}$$

and

$$\vec{N}(s) = \left( -\cos \frac{s}{\sqrt{r^2+b^2}}, -\sin \frac{s}{\sqrt{r^2+b^2}}, 0 \right)$$

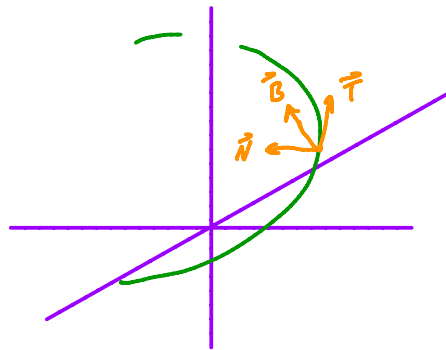
so

$$\vec{B}(s) = \vec{T}(s) \times \vec{N}(s) = \left( \frac{b}{\sqrt{r^2+b^2}} \sin \frac{s}{\sqrt{r^2+b^2}}, \frac{-b}{\sqrt{r^2+b^2}} \cos \frac{s}{\sqrt{r^2+b^2}}, \frac{r}{\sqrt{r^2+b^2}} \right)$$

and

$$\vec{B}'(s) = \frac{b}{r^2+b^2} \left( \cos \frac{s}{\sqrt{r^2+b^2}}, \sin \frac{s}{\sqrt{r^2+b^2}}, 0 \right)$$

$$\text{so } \tau(s) = -\vec{B}'(s) \cdot \vec{N}(s) = \frac{-b}{r^2+b^2} \quad \text{constant}$$



exercise: given any two constants  $k > 0, \tau$  there is some choice of  $r$  and  $b$  s.t.

$$k = \frac{|r|}{r^2+b^2}$$

and

$$\tau = \frac{-b}{r^2+b^2}$$

### Th<sup>m</sup> 18 (Frenet Formula):

let  $\vec{\alpha}: [0, l] \rightarrow \mathbb{R}^3$  be an arc length  
parameterization of  $C$

assume  $\kappa(s) > 0$ . Then

$$\vec{T}'(s) = \kappa(s) \vec{N}(s)$$

$$\vec{N}'(s) = -\kappa(s) \vec{T}(s) + \tau(s) \vec{B}(s)$$

$$\vec{B}'(s) = -\tau(s) \vec{N}(s)$$

Proof: the first equation is the definition of  $\vec{N}(s)$  and  $\kappa(s)$

note: for each  $s$ ,  $\vec{T}(s), \vec{N}(s), \vec{B}(s)$  is an orthonormal basis  
for  $\mathbb{R}^3$  so any vector is a linear combination

$$\text{e.g. } \vec{B}'(s) = a \vec{T}(s) + b \vec{N}(s) + c \vec{B}(s)$$

by the definition of torsion

$$\begin{aligned} -\tau(s) &= \vec{B}'(s) \cdot \vec{N}(s) \\ &= (a \vec{T}(s) + b \vec{N}(s) + c \vec{B}(s)) \cdot \vec{N}(s) \\ &= b \end{aligned}$$

recall  $\vec{B} \cdot \vec{T} = 0$  so

$$\vec{B}' \cdot \vec{T} + \vec{B} \cdot \vec{T}' = 0$$

and

$$a = \vec{B}' \cdot \vec{T} = -\vec{B} \cdot \vec{T}' = -\vec{B} \cdot \kappa(s) \vec{N}(s) = 0$$

also  $\vec{B} \cdot \vec{B} = 1$  so

$$\vec{B}' \cdot \vec{B} + \vec{B} \cdot \vec{B}' = 0$$

and

$$c = \vec{B} \cdot \vec{B}' = 0$$

thus we see  $\vec{B}'(s) = -\tau(s) \vec{N}(s)$

similarly  $\vec{N}'(s) = a \vec{T}(s) + b \vec{N}(s) + c \vec{B}(s)$

$$\vec{N} \cdot \vec{N} = 1 \Rightarrow \vec{N} \cdot \vec{N}' = 0 \text{ so as above } b = 0$$

$$\vec{N} \cdot \vec{T} = 0 \Rightarrow \vec{N}' \cdot \vec{T} + \vec{N} \cdot \vec{T}' = 0$$

$$\text{so } \kappa = \vec{N}' \cdot \vec{T} = -\vec{N} \cdot \vec{T}' = -\vec{N} \cdot \chi(s) \vec{N} = -\chi(s)$$

$$\vec{B} \cdot \vec{N} = 0 \Rightarrow \tau = \vec{B} \cdot \vec{N}' = -\vec{B}' \cdot \vec{N} = \tau(s)$$

$$\text{so } \vec{N}'(s) = -\chi(s) \vec{T}(s) + \tau(s) \vec{N}(s) \quad \square$$

Thm 19:

If  $C$  is a biregular curve (i.e.  $\chi(s) \neq 0$ )  
then  $C$  lies in a plane  $\Leftrightarrow \tau(s) = 0$

Proof:

let  $P_s =$  plane spanned by  $\vec{N}(s)$  and  $\vec{T}(s)$   
(osculating plane)

since  $\vec{B}(s) = \vec{T}(s) \times \vec{N}(s)$ ,  $\vec{B}(s)$  is perpendicular to  $P_s$

so if  $\vec{B}(s)$  is constant then the osculating plane  
is constant


$$\vec{B}'(s) = -\tau(s) \vec{N}(s) \quad \text{so osculating plane is constant} \\ \Leftrightarrow \\ \tau(s) = 0$$

now  $(\Rightarrow)$  if  $C$  lies in a plane then  $\vec{T}$  and  $\vec{N}$   
are always span this fixed plane  
so  $\vec{B}(s)$  is constant

$$\therefore \vec{B}'(s) = 0 \quad \text{and so } \tau(s) = \underline{0}$$

$(\Leftarrow)$  if  $\tau(s) = 0$  then  $\vec{B}'(s) = 0$  so the plane spanned  
by  $\vec{N}(s)$  and  $\vec{T}(s)$  is constant

exercise: if  $\vec{T}(s)$  is always in a fixed plane then  
so is  $C$ .

Hint: use isometry to make plane  $xy$ -plane  
then consider the  $z$ -coordinate of  
a parameterization 


Thm 20 (Fundamental Theorem of space curves):

- given: 1)  $I = [0, l] \subset \mathbb{R}$   
2)  $c: I \rightarrow \mathbb{R}$        $c(s) > 0$  and differentiable  
3)  $t: I \rightarrow \mathbb{R}$       "      "  
4)  $\vec{p}, \vec{v} \in \mathbb{R}^3$       with  $\|\vec{v}\| = 1$

then there exists a unique curve  $C$  in  $\mathbb{R}^3$   
with an arc length parameterization

$$\vec{\alpha}: I \rightarrow \mathbb{R}^3$$

- such that 1)  $\vec{\alpha}(0) = \vec{p}$   
2)  $\vec{\alpha}'(0) = \vec{v}$   
3)  $\chi(s) = c(s)$   
4)  $\tau(s) = t(s)$

Proof: Uniqueness is an exercise  
the existence uses differential equations so  
we skip it for now (see Do Carmo's Book) 

Cor 21:

a biregular curve in  $\mathbb{R}^3$  is a helix  
 $\Leftrightarrow$   
 $\tau$  and  $\chi$  are constant

Proof: exercise 

We know for a biregular curve  $\alpha$  and  $\tau$  essentially determine the curve, but let's see what they really say about the curve

let  $\vec{\alpha}: [0, l] \rightarrow \mathbb{R}^3$  be an arc length parameterization

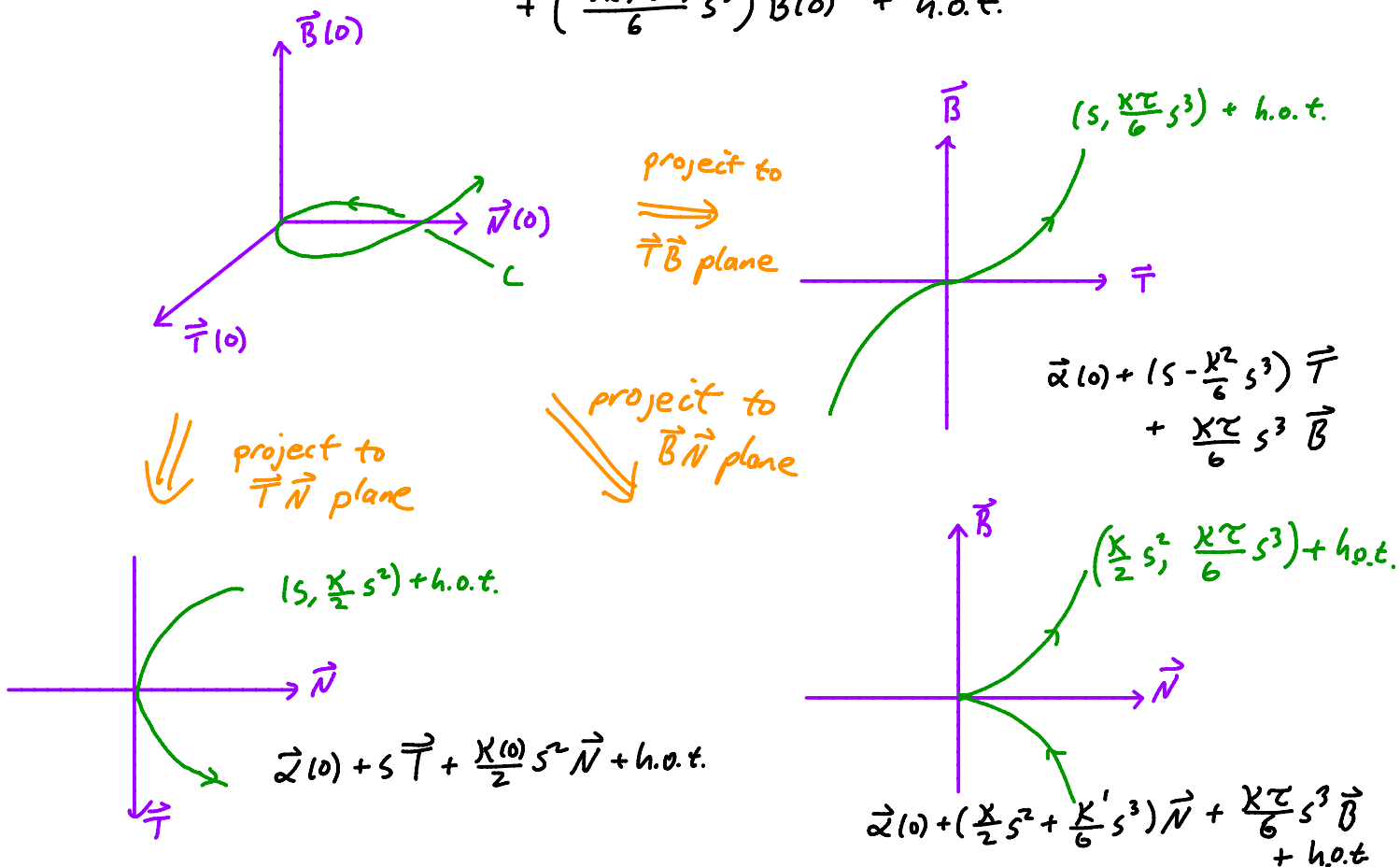
recall:  $\vec{\alpha}'(s) = \vec{T}(s)$

$$\vec{\alpha}''(s) = \kappa(s) \vec{N}(s)$$

$$\vec{\alpha}'''(s) = (\kappa(s) \vec{N}(s))' = \kappa'(s) \vec{N}(s) + \kappa(s) \underbrace{(-\kappa(s) \vec{T}(s) + \tau(s) \vec{B}(s))}_{\vec{N}' \text{ by Frenet = 18}}$$

so the Taylor expansion of  $\vec{\alpha}(s)$  about  $s=0$  is

$$\begin{aligned} \vec{\alpha}(s) &= \vec{\alpha}(0) + \vec{T}(0)s + \frac{\kappa(0)}{2} \vec{N} s^2 \\ &\quad + \frac{1}{6} [\kappa'(0) \vec{N}(0) - (\kappa(0))^2 \vec{T}(0) + \kappa(0)\tau(0) \vec{B}(0)] s^3 + \text{higher order terms} \\ &= \vec{\alpha}(0) + (s - \frac{\kappa(0)^2}{6} s^3) \vec{T}(0) \\ &\quad + (\frac{\kappa(0)}{2} s^2 + \frac{\kappa'(0)}{6} s^3) \vec{N}(0) \\ &\quad + (\frac{\kappa(0)\tau(0)}{6} s^3) \vec{B}(0) + \text{h.o.t.} \end{aligned}$$



## exercise:

- 1) You can think of these projections as shadows take twigs from a tree or bent up paper clips and see you can (almost) always find these three projections/shadows
- 2) think about what this means about curvature and torsion!

Now for a few global theorems

## Fenchel's Theorem:

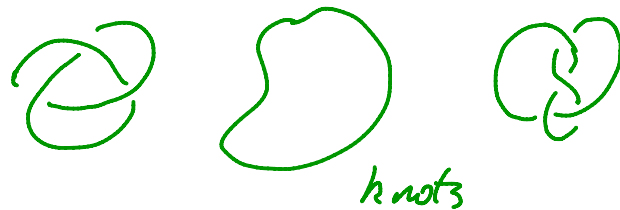
The total curvature of a regular closed curve in  $\mathbb{R}^3$  is  $\geq 2\pi$

it is  $2\pi \iff$  it lies in a plane and is simple and convex

see Tapp's book for a proof

What about other closed curves

a closed curve  $C$  is called a knot if it has an injective parameterization




knots



not a knot

two knots are isotopic (or equivalent) if you can get from one to the other through a continuous family of knots

$K$  is called the unknot if it is isotopic to 



Fary-Milnor Th<sup>m</sup>:

let  $K$  be a knot in  $\mathbb{R}^3$

If the total curvature is  $< 4\pi$

then  $K$  is the unknot